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## Small minors in dense graphs

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### ABSTRACT

A fundamental result in structural graph theory states that every graph with large average degree contains a large complete graph as a minor. We prove this result with the extra property that the minor is small with respect to the order of the whole graph. More precisely, we describe functions  $f$  and  $h$  such that every graph with  $n$  vertices and average degree at least  $f(t)$  contains a  $K_t$ -model with at most  $h(t) \cdot \log n$  vertices. The logarithmic dependence on  $n$  is best possible (for fixed  $t$ ). In general, we prove that  $f(t) \leq 2^{t-1} + \varepsilon$ . For  $t \leq 4$ , we determine the least value of  $f(t)$ ; in particular,  $f(3) = 2 + \varepsilon$  and  $f(4) = 4 + \varepsilon$ . For  $t \leq 4$ , we establish similar results for graphs embedded on surfaces, where the size of the  $K_t$ -model is bounded (for fixed  $t$ ).

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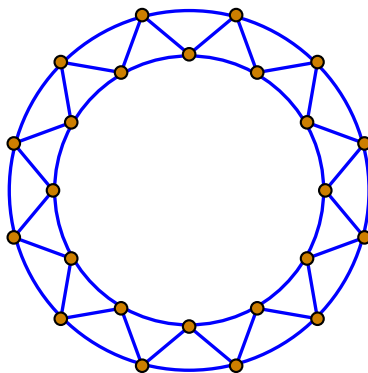
## 1. Introduction

A fundamental result in structural graph theory states that every sufficiently dense graph contains a large complete graph as a minor.<sup>2</sup> More precisely, there is a minimum function  $f(t)$  such that every graph with average degree at least  $f(t)$  contains a  $K_t$ -minor. Mader [17] first proved that  $f(t) \leq 2^{t-2}$ , and later proved that  $f(t) \in O(t \log t)$  [18]. Kostochka [8,9] and Thomason [23,24] proved that  $f(t) \in \Theta(t\sqrt{\log t})$ ; see [25] for a survey of related results.

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<sup>2</sup> We consider simple, finite, undirected graphs  $G$  with vertex set  $V(G)$  and edge set  $E(G)$ . Let  $|G| := |V(G)|$  and  $\|G\| := |E(G)|$ . A graph  $H$  is a *minor* of a graph  $G$  if  $H$  is isomorphic to a graph obtained from a subgraph of  $G$  by contracting edges.

Fig. 1.  $C_{24}^2$ .

Here we prove similar results with the extra property that the  $K_t$ -minor is ‘small’ with respect to the order of the graph. This idea is evident when  $t = 3$ . A graph contains a  $K_3$ -minor if and only if it contains a cycle. Every graph with average degree at least 2 contains a cycle, whereas every graph  $G$  with average degree at least 3 contains a cycle of length  $O(\log |G|)$ . That is, high average degree forces a short cycle, which can be thought of as a small  $K_3$ -minor.

In general, we measure the size of a  $K_t$ -minor via the following definition. A  $K_t$ -model in a graph  $G$  consists of  $t$  connected subgraphs  $B_1, \dots, B_t$  of  $G$ , such that  $V(B_i) \cap V(B_j) = \emptyset$  and some vertex in  $B_i$  is adjacent to some vertex in  $B_j$  for all distinct  $i, j \in \{1, \dots, t\}$ . The  $B_i$  are called *branch sets*. Clearly a graph contains a  $K_t$ -minor if and only if it contains a  $K_t$ -model. We measure the size of a  $K_t$ -model by the total number of vertices,  $\sum_{i=1}^t |B_i|$ . Our main result states that every sufficiently dense graph contains a small model of a complete graph.

**Theorem 1.1.** *There are functions  $f$  and  $h$  such that every graph  $G$  with average degree at least  $f(t)$  contains a  $K_t$ -model with at most  $h(t) \cdot \log |G|$  vertices.*

For fixed  $t$ , the logarithmic upper bound in Theorem 1.1 is within a constant factor of being optimal, since every  $K_t$ -model contains a cycle, and for all  $d \geq 3$  and  $n > 3d$  such that  $nd$  is even, Chandran [2] constructed a graph with  $n$  vertices, average degree  $d$ , and girth at least  $(\log_d n) - 1$ . (The *girth* of a graph is the length of a shortest cycle.)

In this paper we focus on minimising the function  $f$  in Theorem 1.1 and do not calculate  $h$  explicitly. In particular, Theorem 4.3 proves Theorem 1.1 with  $f(t) \leq 2^{t-1} + \varepsilon$  for any  $\varepsilon > 0$  (where the function  $h$  also depends on  $\varepsilon$ ). Note that for Theorem 1.1 and all our results, the proofs can be easily adapted to give polynomial algorithms that compute the small  $K_t$ -model.

For  $t \leq 4$ , we determine the least possible value of  $f(t)$  in Theorem 1.1. The  $t = 2$  case is trivial— one edge is a small  $K_2$ -minor. To force a small  $K_3$ -model, average degree 2 is not enough, since every  $K_3$ -model in a large cycle uses every vertex. On the other hand, we prove that average degree  $2 + \varepsilon$  forces a cycle of length  $O_\varepsilon(\log |G|)$ ; see Lemma 3.2. For  $t = 4$  we prove that average degree  $4 + \varepsilon$  forces a  $K_4$ -model with  $O_\varepsilon(\log |G|)$  vertices; see Theorem 3.3. This result is also best possible. Consider the square of an even cycle  $C_{2n}^2$ , which is a 4-regular graph illustrated in Fig. 1. If the base cycle is  $(v_1, \dots, v_{2n})$  then  $C_{2n}^2 - \{v_i, v_{i+1}\}$  is outerplanar for each  $i$ . Since outerplanar graphs contain no  $K_4$ -minor, every  $K_4$ -model in  $C_{2n}^2$  contains  $v_i$  or  $v_{i+1}$  for each  $i$ , and thus contains at least  $n$  vertices.

Motivated by Theorem 1.1, we then consider graphs that contain  $K_3$ -models and  $K_4$ -models of bounded size (not just small with respect to  $|G|$ ). First, we prove that planar graphs satisfy this property. In particular, every planar graph with average degree at least  $2 + \varepsilon$  contains a  $K_3$ -model with  $O(\frac{1}{\varepsilon})$  vertices (Theorem 5.1). This bound on the average degree is best possible since a cycle is planar and has average degree 2. Similarly, every planar graph with average degree at least  $4 + \varepsilon$  contains a  $K_4$ -model with  $O(\frac{1}{\varepsilon})$  vertices (Theorem 5.8). Again, this bound on the average degree is best

possible since  $C_{2n}^2$  is planar and has average degree 4. These results generalise for graphs embedded on other surfaces (Theorems 6.2 and 6.4).

Finally, we mention three other results in the literature that force a model of a complete graph of bounded size.

- Kostochka and Pyber [11] proved that for every integer  $t$  and  $\varepsilon > 0$ , every  $n$ -vertex graph with at least  $4^{t^2} n^{1+\varepsilon}$  edges contains a subdivision of  $K_t$  with at most  $\frac{7}{\varepsilon} t^2 \log t$  vertices; see [7] for recent related results. We emphasise that, for fixed  $t$ , the results in [11,7] prove that a super-linear lower bound on the number of edges (in terms of the number of vertices) forces a  $K_t$ -minor (in fact, a subdivision) of bounded size, whereas Theorem 1.1 proves that a linear lower bound on the number of edges forces a small  $K_t$ -minor (of size logarithmic in the order of the graph). Also note that Theorem 1.1 can be proved by adapting the proof of Kostochka and Pyber [11]. As far as we can tell, this method does not give a bound better than  $f(t) \leq 16^t + \varepsilon$  (ignoring lower order terms). This bound is inferior to our Theorem 4.3, which proves  $f(t) \leq 2^{t-1} + \varepsilon$ . Also note that the method of Kostochka and Pyber [11] can be adapted to prove the following result about forcing a small subdivision.

**Theorem 1.2.** *There is a function  $h$  such that for every integer  $t \geq 2$  and real  $\varepsilon > 0$ , every graph  $G$  with average degree at least  $4^{t^2} + \varepsilon$  contains a subdivision of  $K_t$  with at most  $h(t, \varepsilon) \cdot \log |G|$  division vertices per edge.*

- Kühn and Osthus [16] proved that every graph with minimum degree at least  $t$  and girth at least 27 contains a  $K_{t+1}$ -subdivision. Every graph with average degree at least  $2t$  contains a subgraph with minimum degree at least  $t$ . Thus every graph with average degree at least  $2t$  contains a  $K_{t+1}$ -subdivision or a  $K_3$ -model with at most 26 vertices.
- Krivelevich and Sudakov [13] proved that for all integers  $s' \geq s \geq 2$ , there is a constant  $c > 0$ , such that every  $K_{s,s}$ -free graph with average degree  $r$  contains a minor with average degree at least  $cr^{1+1/(2s-2)}$ . Applying the result of Kostochka [8,9] and Thomason [23] mentioned above, for every integer  $s \geq 2$  there is a constant  $c$  such that every graph with average degree at least  $c(t\sqrt{\log t})^{1-1/(2s-1)}$  contains a  $K_t$ -minor or a  $K_{s,s}$ -subgraph, in which case there is a  $K_{s+1}$ -model with  $2s$  vertices.

## 2. Definitions and notations

See [3] for undefined graph-theoretic terminology and notation. For  $S \subseteq V(G)$ , let  $G[S]$  be the subgraph of  $G$  induced by  $S$ . Let  $e(S) := \|G[S]\|$ . For disjoint sets  $S, T \subseteq V(G)$ , let  $e(S, T)$  be the number of edges between  $S$  and  $T$  in  $G$ .

A *separation* in a graph  $G$  is a pair of subgraphs  $\{G_1, G_2\}$ , such that  $G = G_1 \cup G_2$  and  $V(G_1) \setminus V(G_2) \neq \emptyset$  and  $V(G_2) \setminus V(G_1) \neq \emptyset$ . The *order* of the separation is  $|V(G_1) \cap V(G_2)|$ . A separation of order 1 corresponds to a cut-vertex  $v$ , where  $V(G_1) \cap V(G_2) = \{v\}$ . A separation of order 2 corresponds to a cut-pair  $v, w$ , where  $V(G_1) \cap V(G_2) = \{v, w\}$ .

See [20] for background on graphs embedded in surfaces. Let  $\mathbb{S}_h$  be the orientable surface obtained from the sphere by adding  $h$  handles. The *Euler genus* of  $\mathbb{S}_h$  is  $2h$ . Let  $\mathbb{N}_c$  be the non-orientable surface obtained from the sphere by adding  $c$  cross-caps. The *Euler genus* of  $\mathbb{N}_c$  is  $c$ .

An *embedded graph* means a connected graph that is 2-cell embedded in  $\mathbb{S}_h$  or  $\mathbb{N}_c$ . A *plane graph* is a planar graph embedded in the plane. Let  $F(G)$  denote the set of faces in an embedded graph  $G$ . For a face  $f \in F(G)$ , let  $|f|$  be the length of the facial walk around  $f$ . For a vertex  $v$  of  $G$ , let  $F(G, v)$  be the multiset of faces incident to  $v$ , where the multiplicity of a face  $f$  in  $F(G, v)$  equals the multiplicity of  $v$  in the facial walk around  $f$ . Thus  $|F(G, v)| = \deg(v)$ .

Euler’s formula states that  $|G| - \|G\| + |F(G)| = 2 - g$  for a connected graph  $G$  embedded in a surface with Euler genus  $g$ . Note that  $g \leq \|G\| - |G| + 1$  since  $|F(G)| \geq 1$ . The *Euler genus* of a graph  $G$  is the minimum Euler genus of a surface in which  $G$  embeds.

We now review some well-known results that will be used implicitly (see [3, Section 7.3]). If a graph  $G$  contains no  $K_4$ -minor then  $\|G\| \leq 2|G| - 3$ , and if  $|G| \geq 2$  then  $G$  contains at least two

vertices with degree at most 2. Hence, if  $\|G\| > 2|G| - 3$  then  $G$  contains a  $K_4$ -minor. Similarly, if  $|G| \geq 2$  and at most one vertex in  $G$  has degree at most 2, then  $G$  contains a  $K_4$ -minor.

Throughout this paper, logarithms are binary unless stated otherwise.

### 3. Small $K_3$ -models and $K_4$ -models

In this section we prove tight bounds on the average degree that forces a small  $K_3$ -model or  $K_4$ -model. The following lemma is at the heart of many of our results. It is analogous to Lemma 1.1 in [11]

**Lemma 3.1.** *There is a function  $p$  such that for every two reals  $d > d' \geq 2$ , every graph  $G$  with average degree at least  $d$  contains a subgraph with average degree at least  $d'$  and diameter at most  $p(d, d') \cdot \log |G|$ .*

**Proof.** We may assume that every proper subgraph of  $G$  has average degree strictly less than  $d$  (otherwise, simply consider a minimal subgraph with that property). Let

$$\beta := \frac{d}{d'} > 1 \quad \text{and} \quad p(d, d') := \frac{2}{\log \beta} + 2.$$

Let  $v$  be an arbitrary vertex of  $G$ . Let  $B_k(v)$  be the subgraph of  $G$  induced by the set of vertices at distance at most  $k$  from  $v$ . Let  $k \geq 1$  be the minimum integer such that  $|B_k(v)| < \beta \cdot |B_{k-1}(v)|$ . (There exists such a  $k$ , since  $\beta > 1$  and  $G$  is finite.) It follows that  $\beta^{k-1} \leq |B_{k-1}(v)| \leq |G|$ , and  $B_k(v)$  has diameter at most  $2k \leq 2(\log_\beta |G| + 1) \leq p(d, d') \cdot \log |G|$ .

We now show that  $B_k(v)$  also has average degree at least  $d'$ . Let

$$\begin{aligned} A &:= V(B_{k-1}(v)), \\ B &:= V(B_k(v)) \setminus V(B_{k-1}(v)), \\ C &:= V(G) \setminus (A \cup B). \end{aligned}$$

If  $C = \emptyset$ , then  $B_k(v) = G[A \cup B] = G$ , and hence  $B_k(v)$  has average degree at least  $d \geq d'$ . Thus, we may assume that  $C \neq \emptyset$ . Let  $d''$  be the average degree of  $B_k(v)$ . Thus,

$$2(e(A) + e(B) + e(A, B)) = d'' \cdot (|A| + |B|). \tag{1}$$

Since  $C$  is non-empty,  $G - A$  is a proper non-empty subgraph of  $G$ . By our hypothesis on  $G$ , this subgraph has average degree strictly less than  $d$ ; that is,

$$2(e(B) + e(C) + e(B, C)) < d \cdot (|B| + |C|). \tag{2}$$

By (1) and (2) and since  $e(A, C) = 0$ ,

$$\begin{aligned} 2\|G\| &= 2(e(A) + e(B) + e(C) + e(A, B) + e(B, C)) \\ &= d''(|A| + |B|) + 2e(C) + 2e(B, C) \\ &< d''(|A| + |B|) + d(|B| + |C|) - 2e(B) \\ &\leq d|G| - d|A| + d''(|A| + |B|). \end{aligned}$$

Thus  $d''(|A| + |B|) > d|A|$  (since  $2\|G\| \geq d|G|$ ). On the other hand, by the choice of  $k$ ,

$$\frac{|A|}{|A| + |B|} > \frac{1}{\beta}.$$

Hence

$$d'' > d \frac{|A|}{|A| + |B|} > \frac{d}{\beta} = d',$$

as desired.  $\square$

**Lemma 3.2.** *There is a function  $g$  such that for every real  $\varepsilon > 0$ , every graph  $G$  with average degree at least  $2 + \varepsilon$  has girth at most  $g(\varepsilon) \cdot \log |G|$ ,*

**Proof.** By Lemma 3.1,  $G$  contains a subgraph  $G'$  with average degree at least 2 and diameter at most  $p(2 + \varepsilon, 2) \cdot \log |G|$ . Let  $T$  be a breadth-first search tree in  $G'$ . Thus  $T$  has diameter at most  $2p(2 + \varepsilon, 2) \cdot \log |G|$ . Since  $G'$  has average degree at least 2,  $G'$  is not a tree, and there is an edge  $e \in E(G') \setminus E(T)$ . Thus  $T$  plus  $e$  contains a cycle of length at most  $2p(2 + \varepsilon, 2) \cdot \log |G| + 1$ .  $\square$

**Theorem 3.3.** *There is a function  $h$  such that for every real  $\varepsilon > 0$ , every graph  $G$  with average degree at least  $4 + \varepsilon$  contains a  $K_4$ -model with at most  $h(\varepsilon) \cdot \log |G|$  vertices.*

**Proof.** By Lemma 3.1,  $G$  contains a subgraph  $G'$  with average degree at least  $4 + \frac{\varepsilon}{2}$  and diameter at most  $p(4 + \varepsilon, 4 + \frac{\varepsilon}{2}) \cdot \log |G|$ . Let  $v$  be an arbitrary vertex of  $G'$ . Let  $T$  be a breadth-first search tree from  $v$  in  $G'$ . Let  $k$  be the depth of  $T$ . Thus  $k \leq p(4 + \varepsilon, 4 + \frac{\varepsilon}{2}) \cdot \log |G|$ .

Let  $H := G' - E(T)$ . Since  $\|T\| = |G| - 1$ , the graph  $H$  has average degree at least  $2 + \frac{\varepsilon}{2}$ . By Lemma 3.2,  $H$  contains a cycle  $C$  of length at most  $g(\frac{\varepsilon}{2}) \cdot \log |G|$ . We will prove the theorem with  $h(\varepsilon) := g(\frac{\varepsilon}{2}) + 3p(4 + \varepsilon, 4 + \frac{\varepsilon}{2})$ .

Observe that  $v \notin V(C)$ , since  $v$  is isolated in  $H$ . A vertex  $w$  of  $C$  is said to be *maximal* if, in the tree  $T$  rooted at  $v$ , no other vertex of  $C$  is an ancestor of  $w$ . Let  $\text{dist}(x)$  be the distance between  $v$  and each vertex  $x$  in  $T$ .

Consider an edge  $xx'$  in  $C$  where  $x$  is maximal and  $x'$  is not. Since  $T$  is a breadth-first search tree,  $\text{dist}(x') \leq \text{dist}(x) + 1$ . Thus, if  $x$  is an ancestor of  $x'$  then  $xx' \in E(T)$ , which is a contradiction since  $xx' \in E(H)$ . Hence  $x$  is not an ancestor of  $x'$ . Let  $y$  be an ancestor of  $x'$  in  $C$  (which exists since  $x'$  is not maximal). Then  $\text{dist}(y) < \text{dist}(x') \leq \text{dist}(x) + 1$ , implying  $\text{dist}(y) \leq \text{dist}(x)$ . We repeatedly use these facts below.

First, suppose that there is a unique maximal vertex  $x$  in  $C$ . Let  $x'$  be a neighbour of  $x$  in  $C$ . Since  $x'$  is not maximal, some ancestor of  $x'$  is in  $C$ . As proved above,  $x$  is not an ancestor of  $x'$  in  $T$ , which contradicts the assumption that  $x$  is the only maximal vertex in  $C$ .

Next, suppose there are exactly two maximal vertices  $x$  and  $y$  in  $C$ . Let  $P$  be an  $x$ - $y$  path in  $C$  that is not the edge  $xy$  (if it exists). Let  $x'$  be the neighbour of  $x$  in  $P$ , and let  $y'$  be the neighbour of  $y$  in  $P$ . Thus  $x' \neq y$  and  $y' \neq x$ . Hence neither  $x'$  nor  $y'$  are maximal. As proved above,  $y$  is an ancestor of  $x'$  and  $\text{dist}(y) \leq \text{dist}(x)$ , and  $x$  is an ancestor of  $y'$  and  $\text{dist}(x) \leq \text{dist}(y)$ . Thus  $\text{dist}(x) = \text{dist}(y)$ . Hence  $\text{dist}(x') \leq \text{dist}(y) + 1$  and  $\text{dist}(y') \leq \text{dist}(x) + 1$ , which implies that  $x'y$  and  $y'x$  are both edges of  $T$ , and  $x' \neq y'$ . Now, the cycle  $C$  plus these two edges gives a  $K_4$ -model with  $|C| \leq g(\frac{\varepsilon}{2}) \cdot \log |G| \leq h(\varepsilon) \cdot \log |G|$  vertices.

Finally, suppose that  $C$  contains three maximal vertices  $x, y, z$ . For  $w \in \{x, y, z\}$ , let  $P_w$  be the unique  $v$ - $w$  path in  $T$ . Then  $C \cup P_x \cup P_y \cup P_z$  contains a  $K_4$ -model with at most  $|C| + |P_x - x| + |P_y - y| + |P_z - z| \leq |C| + 3k \leq h(\varepsilon) \cdot \log |G|$  vertices.  $\square$

#### 4. Small $K_t$ -models

The following theorem establishes our main result (Theorem 1.1).

**Theorem 4.1.** *There is a function  $h$  such that for every integer  $t \geq 2$  and real  $\varepsilon > 0$ , every graph  $G$  with average degree at least  $2^t + \varepsilon$  contains a  $K_t$ -model with at most  $h(t, \varepsilon) \cdot \log |G|$  vertices.*

**Proof.** We prove the following slightly stronger statement: Every graph  $G$  with average degree at least  $2^t + \varepsilon$  contains a  $K_t$ -model with at most  $h(t, \varepsilon) \cdot \log |G|$  vertices such that each branch set of the model contains at least two vertices.

The proof is by induction on  $t$ . For  $t = 2$ , let  $h(t, \varepsilon) := 2$ . Here we need only assume average degree at least  $2 + \varepsilon$ . Some component of  $G$  is neither a tree nor a cycle, as otherwise  $G$  would have average degree at most 2. It is easily seen that this component contains a path on 4 vertices, yielding a  $K_2$ -model in which each branch set contains two vertices. This model has  $4 \leq h(t, \varepsilon) \cdot \log |G|$  vertices, as desired. (Observe that  $|G| \geq 4$ , since  $G$  contains a vertex with degree at least 3.)

Now assume  $t \geq 3$  and the claim holds for smaller values of  $t$ . Using Lemma 3.1, let  $G'$  be a subgraph of  $G$  with average degree at least  $2^t + \frac{\varepsilon}{2}$  and diameter at most  $p(2^t + \varepsilon, 2^t + \frac{\varepsilon}{2}) \cdot \log |G|$ . Let  $h(t, \varepsilon) := 2 + (t - 1)p(2^t + \varepsilon, 2^t + \frac{\varepsilon}{2}) + h(t - 1, \frac{\varepsilon}{4})$ .

Choose an arbitrary edge  $uv$  of  $G'$ . Define the *depth* of a vertex  $w \in V(G')$  to be the minimum distance in  $G'$  between  $w$  and a vertex in  $\{u, v\}$ . Note that the depths of the endpoints of each edge differ by at most 1. The *depth* of an edge  $xy \in E(G')$  is the minimum of the depth of  $x$  and the depth of  $y$ .

Considering edges of  $G'$  with even depth on one hand, and with odd depth on the other, we obtain two edge-disjoint spanning subgraphs of  $G'$ . Since  $G'$  has average degree at least  $2^t + \frac{\varepsilon}{2}$ , one of these two subgraphs has average degree at least  $2^{t-1} + \frac{\varepsilon}{4}$ . Let  $H$  be a component of this subgraph with average degree at least  $2^{t-1} + \frac{\varepsilon}{4}$ . Observe that every edge of  $H$  has the same depth  $k$  in  $G$ .

If  $k = 0$ , then  $E(H)$  is precisely the set of edges incident to  $u$  or  $v$  (or both). Thus, every vertex in  $V(H) \setminus \{u, v\}$  has degree at most 2 in  $H$ . Hence  $H$  has average degree less than  $4 < 2^{t-1} + \frac{\varepsilon}{4}$ , a contradiction. Therefore  $k \geq 1$ .

Now, by induction,  $H$  contains a  $K_{t-1}$ -model with at most  $h(t-1, \frac{\varepsilon}{4}) \cdot \log |G'|$  vertices such that each of the  $t-1$  branch sets  $B_1, \dots, B_{t-1}$  has at least two vertices. Thus, each  $B_i$  contains an edge of  $H$ . Hence, there is a vertex  $v_i$  in  $B_i$  having depth  $k$  in  $G'$ . Therefore, there is a path  $P_i$  of length  $k$  in  $G'$  between  $v_i$  and some vertex in  $\{u, v\}$ . Let  $P_{uv}$  be the trivial path consisting of the edge  $uv$ . Let

$$B_t := P_{uv} \cup \bigcup_{1 \leq i \leq t-1} (P_i - v_i).$$

The subgraph  $B_t$  is connected, contains at least two vertices (namely,  $u$  and  $v$ ), and is vertex disjoint from  $B_i$  for all  $i \in \{1, \dots, t-1\}$ . Moreover, there is an edge between  $B_t$  and each  $B_i$ , and

$$\begin{aligned} \sum_{1 \leq i \leq t} |B_i| &\leq |B_t| + h\left(t-1, \frac{\varepsilon}{4}\right) \cdot \log |G'| \\ &\leq 2 + \sum_{1 \leq i \leq t-1} |P_i - v_i| + h\left(t-1, \frac{\varepsilon}{4}\right) \cdot \log |G| \\ &\leq 2 + (t-1)k + h\left(t-1, \frac{\varepsilon}{4}\right) \cdot \log |G| \\ &\leq 2 + (t-1)p\left(2^t + \varepsilon, 2^t + \frac{\varepsilon}{2}\right) \cdot \log |G| + h\left(t-1, \frac{\varepsilon}{4}\right) \cdot \log |G| \\ &\leq h(t, \varepsilon) \cdot \log |G|. \end{aligned}$$

Hence, adding  $B_t$  to our  $K_{t-1}$ -model gives the desired  $K_t$ -model of  $G$ .  $\square$

Observe that one obstacle to reducing the lower bound on the average degree in [Theorem 4.1](#) is the case  $t = 3$ , which we address in the following result.

**Lemma 4.2.** *There is a function  $h$  such that for every real  $\varepsilon > 0$ , every graph  $G$  with average degree at least  $4 + \varepsilon$  contains a  $K_3$ -model with at most  $h(\varepsilon) \cdot \log |G|$  vertices, such that each branch set contains at least two vertices.*

**Proof.** The proof is by induction on  $|G| + \|G\|$ . We may assume that no proper subgraph of  $G$  has average degree at least  $4 + \varepsilon$ , since otherwise we are done by induction. This implies that  $G$  is connected. Note that  $|G| \geq 6$  since  $G$  has average degree  $> 4$ .

First, suppose that  $G$  contains a  $K_4$  subgraph with vertex set  $X$ .

*Case 1.* All edges between  $X$  and  $V(G) \setminus X$  in  $G$  are incident to a common vertex  $v \in X$ : Let  $Y := X \setminus \{v\}$ . Then

$$2\|G - Y\| = 2\|G\| - 12 \geq (4 + \varepsilon)|G| - 12 \geq (4 + \varepsilon)|G - Y|,$$

implying that  $G - Y$  also has average degree at least  $4 + \varepsilon$ , a contradiction.

*Case 2.* There are two independent edges  $uu'$  and  $vv'$  between  $X$  and  $V(G) \setminus X$  in  $G$ , where  $u, v \in X$ : Then  $\{u, u'\}, \{v, v'\}, X \setminus \{u, v\}$  is the desired  $K_3$ -model.

*Case 3.* Some vertex  $w \in V(G) \setminus X$  is adjacent to two vertices  $u, v \in X$ : No vertex in  $X$  has a neighbour in  $V(G) \setminus (X \cup \{w\})$ , as otherwise Case 2 would apply. Since  $G$  is connected and  $|G| \geq 6$ , it follows that

$w$  has a neighbour  $w'$  outside  $X$ . Let  $x, y$  be the two vertices in  $X \setminus \{u, v\}$ . Then  $\{w, w'\}, \{u, x\}, \{v, y\}$  is the desired  $K_3$ -model.

This concludes the case in which  $G$  contains a  $K_4$  subgraph. Now, assume that  $G$  is  $K_4$ -free. By Theorem 3.3,  $G$  contains a  $K_4$ -model  $B_1, \dots, B_4$  with at most  $h(\varepsilon) \cdot \log |G|$  vertices. Without loss of generality,  $|B_1| \geq |B_2| \geq |B_3| \geq |B_4|$  and  $|B_1| \geq 2$ .

Case 1.  $|B_2| \geq 2$ : Then  $B_1, B_2, B_3 \cup B_4$  is the desired  $K_3$ -model. Now assume that  $B_i = \{x_i\}$  for all  $i \in \{2, 3, 4\}$ .

Case 2. Some  $x_i$  is adjacent to some vertex  $w$  not in  $B_1 \cup B_2 \cup B_3 \cup B_4$ : If  $i = 2$  then  $\{x_2, w\}, B_1, B_3 \cup B_4$  is the desired  $K_3$ -model. Similarly for  $i \in \{3, 4\}$ .

Case 3.  $|B_1| \geq 3$ . Then there are two independent edges in  $G$  between  $B_1$  and  $\{x_2, x_3, x_4\}$ , say  $ux_2$  and  $vx_3$  with  $u, v \in B_1$  (otherwise, there would be a  $K_4$  subgraph). There is a vertex  $w \in B_1 \setminus \{u, v\}$  adjacent to at least one of  $u, v$ , say  $u$ . Let  $C$  be the vertex set of the component of  $G[B_1] - \{u, w\}$  containing  $v$ . Then  $\{u, w\}, C \cup \{x_3\}, \{x_2, x_4\}$  is the desired  $K_3$ -model.

Case 4.  $B_1 = \{u, v\}$ . As in the previous cases, there are two independent edges in  $G$  between  $\{u, v\}$  and  $\{x_2, x_3, x_4\}$ , say  $ux_2$  and  $vx_3$ . At least one of  $u, v$ , say  $u$ , is adjacent to some vertex  $w$  outside  $\{u, v, x_2, x_3, x_4\}$ , because  $G$  is connected with at least 6 vertices, and none of  $x_2, x_3, x_4$  has a neighbour outside  $\{u, v, x_2, x_3, x_4\}$ . Then  $\{u, w\}, \{v, x_3\}, \{x_2, x_4\}$  is the desired  $K_3$ -model.  $\square$

Note that average degree greater than 4 is required in Lemma 4.2 because of the disjoint union of  $K_5$ 's. Lemma 4.2 enables the following improvement to Theorem 4.1.

**Theorem 4.3.** *There is a function  $h$  such that for every integer  $t \geq 2$  and real  $\varepsilon > 0$ , every graph  $G$  with average degree at least  $2^{t-1} + \varepsilon$  contains a  $K_t$ -model with at most  $h(t, \varepsilon) \cdot \log |G|$  vertices.*

**Proof.** As before, we prove the following stronger statement: Every graph  $G$  with average degree at least  $2^{t-1} + \varepsilon$  contains a  $K_t$ -model with at most  $h(t, \varepsilon) \cdot \log |G|$  vertices such that each branch set of the model contains at least two vertices.

The proof is by induction on  $t$ . The  $t = 2$  case is handled in the proof of Theorem 4.1. Lemma 4.2 implies the  $t = 3$  case. Now assume  $t \geq 4$  and the claim holds for smaller values of  $t$ . The proof proceeds as in the proof of Theorem 4.1. We obtain a subgraph  $G'$  of  $G$  with average degree at least  $2^{t-1} + \frac{\varepsilon}{2}$  and diameter at most  $p(2^{t-1} + \varepsilon, 2^{t-1} + \frac{\varepsilon}{2}) \cdot \log |G|$ . Choose an edge  $uv$  of  $G'$  and define the depth of edges with respect to  $uv$ . We obtain a connected subgraph  $H$  with average degree at least  $2^{t-2} + \frac{\varepsilon}{4}$ , such that every edge of  $H$  has the same depth  $k$ . If  $k = 0$ , then  $E(H)$  is precisely the set of edges incident to  $u$  or  $v$  (or both), implying  $H$  has average degree less than  $4 < 2^{t-2} + \frac{\varepsilon}{4}$ . Now assume  $k \geq 1$ . The remainder of the proof is the same as that of Theorem 4.1.  $\square$

Thomassen [26] first observed that high girth (and minimum degree 3) forces a large complete graph as a minor; see [14] for the best known bounds. We now show that high girth (and minimum degree 3) forces a *small* model of a large complete graph.

**Theorem 4.4.** *Let  $k$  be a positive integer. Let  $G$  be a graph with girth at least  $8k + 3$  and minimum degree  $r \geq 3$ . Let  $t$  be an integer such that  $r(r - 1)^k \geq 2^{t-1} + 1$ . Then  $G$  contains a  $K_t$ -model with at most  $h'(k, r) \cdot \log |G|$  vertices, for some function  $h'$ .*

**Proof.** Mader [19] proved that  $G$  contains a minor  $H$  of minimum degree at least  $r(r - 1)^k$ , such that each branch set has radius at most  $2k$ ; see [3, Lemma 7.2.3]. Let  $V(H) = \{b_1, \dots, b_{|H|}\}$ , and let  $B_1, \dots, B_{|H|}$  be the corresponding branch sets in  $G$ . Let  $r_i$  be a centre of  $B_i$ . For each vertex  $v$  in  $B_i$ , let  $P_{i,v}$  be a path between  $r_i$  and  $v$  in  $B_i$  of length at most  $2k$ .

By Theorem 4.3,  $H$  contains a  $K_t$ -model with at most  $h(t) \cdot \log |H|$  vertices. Let  $C_1, \dots, C_t$  be the corresponding branch sets. Say  $C_i$  has  $n_i$  vertices. Thus  $\sum_{i=1}^t n_i \leq h(t) \cdot \log |H|$ . We now construct a  $K_t$ -model  $X_1, \dots, X_t$  in  $G$ .

For  $i \in \{1, \dots, t\}$ , let  $T_i$  be a spanning tree of  $C_i$ . Each edge  $b_j b_\ell$  of  $T_i$  corresponds to an edge  $vw$  of  $G$ , for some  $v$  in  $B_j$  and  $w$  in  $B_\ell$ . Add to  $X_i$  the  $r_i r_j$ -path  $P_{j,v} \cup \{vw\} \cup P_{\ell,w}$ . This path has at most  $4k + 2$  vertices. Thus  $X_i$  is a connected subgraph of  $G$  with at most  $(4k + 2)(n_i - 1)$  vertices (since  $T_i$  has  $n_i - 1$  edges).

For distinct  $i, i' \in \{1, \dots, t\}$  there is an edge between  $C_i$  and  $C_{i'}$  in  $H$ . This edge corresponds to an edge  $vw$  of  $G$ , where  $v$  is in some branch set  $B_j$  in  $C_i$ , and  $w$  is in some branch set  $B_{j'}$  in  $C_{i'}$ . Add the path  $P_{j,v}$  to  $X_i$ , and add the path  $P_{j',w}$  to  $X_{i'}$ . Thus  $v$  in  $X_i$  is adjacent to  $w$  in  $X_{i'}$ .

Hence  $X_1, \dots, X_t$  is a  $K_t$ -model in  $G$  with at most  $\sum_{i=1}^t (4k + 2)(n_i - 1) \leq (4k + 2) \cdot h(t) \cdot \log |H|$  vertices from the first step of the construction, and at most  $\binom{t}{2} (4k + 2)$  vertices from the second step. Since  $t$  is bounded by a function of  $r$  and  $k$ , there are at most  $h'(k, r) \cdot \log |G|$  vertices in total, for some function  $h'$ .  $\square$

**Corollary 4.5.** *Let  $k$  be a positive integer. Let  $G$  be a graph with girth at least  $8k + 3$  and minimum degree at least 3. Then  $G$  contains a  $K_k$ -model with at most  $h(k) \cdot \log |G|$  vertices, for some function  $h$ .*

### 5. Planar graphs

In this section we prove that sufficiently dense planar graphs have  $K_3$ -models and  $K_4$ -models of bounded size. We start with the  $K_3$  case.

**Theorem 5.1.** *Let  $\varepsilon \in (0, 4)$ . Every planar graph  $G$  with average degree at least  $2 + \varepsilon$  has girth at most  $1 + \lceil \frac{4}{\varepsilon} \rceil$ .*

**Proof.** Let  $H$  be a connected component of  $G$  with average degree at least  $2 + \varepsilon$ . Thus  $H$  is not a tree. Say  $H$  has  $n$  vertices and  $m$  edges. Fix an embedding of  $H$  in the plane with  $r$  faces. Let  $\ell$  be the minimum length of a facial walk. Thus  $\ell \geq 3$  and  $2m \geq r\ell = (2 + m - n)\ell$ , implying

$$n - 2 \geq m \left(1 - \frac{2}{\ell}\right) \geq \frac{1}{2}(2 + \varepsilon)n \left(1 - \frac{2}{\ell}\right) > \frac{1}{2}(2 + \varepsilon)(n - 2) \left(1 - \frac{2}{\ell}\right).$$

It follows that  $\ell < 2 + \frac{4}{\varepsilon}$ . Since  $\ell$  is an integer,  $\ell \leq 1 + \lceil \frac{4}{\varepsilon} \rceil$ . Since  $H$  is not a tree, every facial walk contains a cycle. Thus  $H$  and  $G$  have girth at most  $1 + \lceil \frac{4}{\varepsilon} \rceil$ .  $\square$

To prove our results for  $K_4$ -models in embedded graphs, the notion of visibility will be useful (and of independent interest). Distinct vertices  $v$  and  $w$  in an embedded graph are *visible* if  $v$  and  $w$  appear on a common face; we say  $v$  sees  $w$ .

**Lemma 5.2.** *Let  $v$  be a vertex of a plane graph  $G$ , such that  $\deg(v) \geq 3$ ,  $v$  is not a cut-vertex, and  $v$  is in no cut-pair. Then  $v$  and the vertices seen by  $v$  induce a subgraph containing a  $K_4$ -minor.*

**Proof.** We may assume that  $G$  is connected. Since  $v$  is not a cut-vertex,  $G - v$  is connected. Let  $f$  be the face of  $G - v$  that contains  $v$  in its interior. Let  $F$  be the facial walk around  $f$ . Suppose that  $F$  is not a simple cycle. Then  $F$  has a repeated vertex  $w$ . Say  $(a, w, b, \dots, c, w, d)$  is a subwalk of  $F$ . Then there is a Jordan curve  $C$  from  $v$  to  $w$ , arriving at  $w$  between the edges  $wa$  and  $wb$ , then leaving  $w$  from between the edges  $wc$  and  $wd$ , and back to  $v$ . Thus  $C$  contains  $b$  in its interior and  $a$  in its exterior. Hence  $v, w$  is a cut-pair. This contradiction proves that  $F$  is a simple cycle. Hence  $v$  and the vertices seen by  $v$  induce a subdivided wheel with  $\deg(v)$  spokes. Since  $\deg(v) \geq 3$  this subgraph contains a subdivision of  $K_4$ .  $\square$

Recall that  $F(G, v)$  is the multiset of faces incident to a vertex  $v$  in an embedded graph  $G$ , where the multiplicity of a face  $f$  in  $F(G, v)$  equals the multiplicity of  $v$  in the facial walk around  $f$ .

**Lemma 5.3.** *Each vertex  $v$  in an embedded graph  $G$  sees at most*

$$\sum_{f \in F(G, v)} (|f| - 2)$$

*other vertices.*



**Proof.** The vertex  $v$  only sees the vertices in the faces in  $F(G, v)$ . Each  $f \in F(G, v)$  contributes at most  $|f| - 1$  vertices distinct from  $v$ . Moreover, each neighbour of  $v$  is counted at least twice. Thus  $v$  sees at most  $\sum_{f \in F(G,v)} (|f| - 1) - \deg(v)$  other vertices, which equals  $\sum_{f \in F(G,v)} (|f| - 2)$ .  $\square$

The 4-regular planar graph  $C_{2n}^2$  has an embedding in the plane, in which each vertex sees  $n + 1$  other vertices; see Fig. 1. On the other hand, we now show that every plane graph with minimum degree 5 has a vertex that sees a bounded number of vertices.

**Lemma 5.4.** *Every plane graph  $G$  with minimum degree 5 has a vertex that sees at most 7 other vertices.*

**Proof.** For each vertex  $v$  of  $G$ , associate a charge of

$$2 - \deg(v) + \sum_{f \in F(G,v)} \frac{2}{|f|}.$$

By Euler’s formula, the total charge is  $2|G| - 2\|G\| + 2|F(G)| = 4$ . Thus some vertex  $v$  has positive charge. That is,

$$2 \sum_{f \in F(G,v)} \frac{1}{|f|} > \deg(v) - 2.$$

Now  $\frac{1}{|f|} \leq \frac{1}{3}$ . Thus  $\frac{2}{3} \deg(v) > \deg(v) - 2$ , implying  $\deg(v) < 6$  and  $\deg(v) = 5$ . If some facial walk containing  $v$  has length at least 6, then

$$3 = 2 \left( \frac{4}{3} + \frac{1}{6} \right) \geq 2 \sum_{f \in F(G,v)} \frac{1}{|f|} > 3,$$

which is a contradiction. Hence each facial walk containing  $v$  has length at most 5. If two facial walks containing  $v$  have length at least 4, then

$$3 = 2 \left( \frac{3}{3} + \frac{2}{4} \right) \geq 2 \sum_{f \in F(G,v)} \frac{1}{|f|} > 3,$$

which is a contradiction. Thus no two facial walks containing  $v$  each have length at least 4. Hence all the facial walks containing  $v$  are triangles, except for one, which has length at most 5. Thus  $v$  sees at most 7 vertices.  $\square$

The bound in Lemma 5.4 is tight since there is a 5-regular planar graph with triangular and pentagonal faces, where each vertex is incident to exactly one pentagonal face (implying that each vertex sees exactly 7 vertices). The corresponding polyhedron is called the *snub dodecahedron*; see Fig. 2.

Lemmas 5.2 and 5.4 imply:

**Theorem 5.5.** *Every 3-connected planar graph with minimum degree 5 contains a  $K_4$ -model with at most 8 vertices.*

Theorem 5.5 is best possible since it is easily seen that every  $K_4$ -model in the snub dodecahedron contains at least 8 vertices. Also note that no result like Theorem 5.5 holds for planar graphs with minimum degree 4 since every  $K_4$ -model in the 4-regular planar graph  $C_{2n}^2$  has at least  $n$  vertices.

We now generalise Lemma 5.4 for graphs with average degree greater than 4.

**Lemma 5.6.** *Let  $\varepsilon \in (0, 2)$ . Every plane graph  $G$  with minimum degree at least 3 and average degree at least  $4 + \varepsilon$  has a vertex  $v$  that sees at most  $1 + \lceil \frac{8}{\varepsilon} \rceil$  other vertices.*

**Proof.** For each vertex  $v$  of  $G$ , associate a charge of

$$(8 + 2\varepsilon) - (8 + 3\varepsilon) \deg(v) + (24 + 6\varepsilon) \sum_{f \in F(G,v)} \frac{1}{|f|}.$$

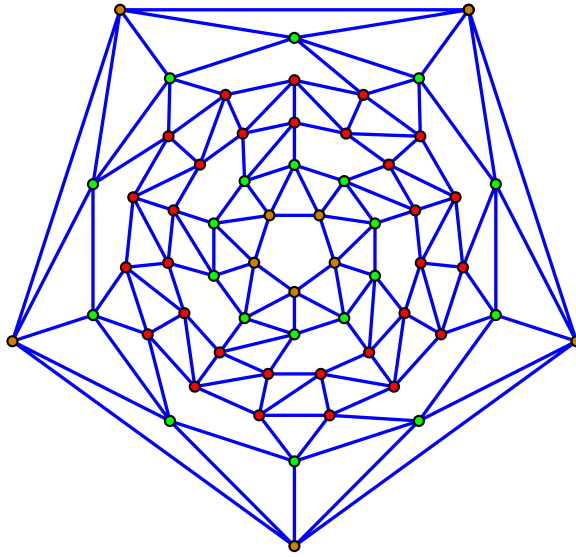


Fig. 2. The snub dodecahedron.

By Euler’s formula, the total charge is

$$\begin{aligned}
 & (8 + 2\varepsilon)|G| - (16 + 6\varepsilon) \|G\| + (24 + 6\varepsilon) |F(G)| \\
 &= (8 + 2\varepsilon)|G| - (16 + 6\varepsilon) \|G\| + (24 + 6\varepsilon) (\|G\| - |G| + 2) \\
 &= 4(2\|G\| - (4 + \varepsilon)|G|) + 2(24 + 6\varepsilon) \\
 &\geq 2(24 + 6\varepsilon).
 \end{aligned}$$

Thus some vertex  $v$  has positive charge. That is,

$$(24 + 6\varepsilon) \sum_{f \in F(G,v)} \frac{1}{|f|} > (8 + 3\varepsilon) \deg(v) - (8 + 2\varepsilon).$$

That is,

$$\sum_{f \in F(G,v)} \frac{1}{|f|} > \left( \frac{1}{3} + \frac{1}{\alpha} \right) \deg(v) - \frac{1}{3},$$

where  $\alpha := 6 + \frac{24}{\varepsilon}$ . We have proved that  $\deg(v)$  and the lengths of the facial walks incident to  $v$  satisfy Lemma A.1. Thus

$$\sum_{f \in F(G,v)} (|f| - 2) \leq \left\lceil \frac{\alpha}{3} \right\rceil - 1 = 1 + \left\lceil \frac{8}{\varepsilon} \right\rceil.$$

The result follows from Lemma 5.3.  $\square$

Lemmas 5.2 and 5.6 imply:

**Theorem 5.7.** *Let  $\varepsilon \in (0, 2)$ . Every 3-connected planar graph  $G$  with average degree at least  $4 + \varepsilon$  contains a  $K_4$ -model with at most  $2 + \left\lceil \frac{8}{\varepsilon} \right\rceil$  vertices.*

We now prove that the 3-connectivity assumption in Theorem 5.7 can be dropped, at the expense of a slightly weaker bound on the size of the  $K_4$ -model.

**Theorem 5.8.** *Let  $\varepsilon \in (0, 2)$ . Every planar graph  $G$  with average degree at least  $4 + \varepsilon$  contains a  $K_4$ -model with at most  $\left\lceil \frac{8}{\varepsilon} \right\rceil + \left\lceil \frac{2}{\varepsilon} \right\rceil$  vertices. Moreover, this bound is within a constant factor of being optimal.*

**Proof.** If  $G$  has at most  $2 + \lceil \frac{2}{\varepsilon} \rceil$  vertices, then we are done since  $m > 2n$  implies  $G$  contains a  $K_4$ -model, which necessarily has at most  $2 + \lceil \frac{2}{\varepsilon} \rceil < \lceil \frac{8}{\varepsilon} \rceil + \lceil \frac{2}{\varepsilon} \rceil$  vertices.

We now proceed by induction on  $n$  with the following hypothesis: Let  $G$  be a planar graph with  $n \geq 2 + \lceil \frac{2}{\varepsilon} \rceil$  vertices and  $m$  edges, such that

$$2m > (4 + \varepsilon)(n - 2). \tag{3}$$

Then  $G$  contains a  $K_4$ -model with at most  $\lceil \frac{8}{\varepsilon} \rceil + \lceil \frac{2}{\varepsilon} \rceil$  vertices.

This will imply the theorem since  $2m \geq (4 + \varepsilon)n > (4 + \varepsilon)(n - 2)$ .

Suppose that  $n \leq \lceil \frac{8}{\varepsilon} \rceil + \lceil \frac{2}{\varepsilon} \rceil$ . Since  $n \geq 2 + \frac{2}{\varepsilon}$ ,

$$2m > (4 + \varepsilon)(n - 2) = 4n - 8 + \varepsilon(n - 2) \geq 4n - 6.$$

Thus  $m > 2n - 3$ , implying  $G$  contains a  $K_4$ -model, which has at most  $n \leq \lceil \frac{8}{\varepsilon} \rceil + \lceil \frac{2}{\varepsilon} \rceil$  vertices. Now assume that  $n \geq \lceil \frac{8}{\varepsilon} \rceil + \lceil \frac{2}{\varepsilon} \rceil + 1$ .

Suppose that  $\deg(v) \leq 2$  for some vertex  $v$ . Thus  $G - v$  satisfies (3) since

$$2\|G - v\| = 2(m - \deg(v)) > (4 + \varepsilon)(n - 2) - 4 > (4 + \varepsilon)(n - 3).$$

Now  $n - 1 \geq \lceil \frac{8}{\varepsilon} \rceil + \lceil \frac{2}{\varepsilon} \rceil > 2 + \lceil \frac{2}{\varepsilon} \rceil$ . Thus, by induction,  $G - v$  and hence  $G$  contains the desired  $K_4$ -minor. Now assume that  $\deg(v) \geq 3$  for every vertex  $v$ .

Suppose that  $G$  contains a separation  $\{G_1, G_2\}$  of order at most 2. Let  $S := V(G_1 \cap G_2)$ . Say each  $G_i$  has  $n_i$  vertices and  $m_i$  edges. Thus  $n_1 + n_2 \leq n + 2$  and  $m_1 + m_2 \geq m$ . Eq. (3) is satisfied for  $G_1$  or  $G_2$ , as otherwise

$$(4 + \varepsilon)(n - 2) < 2m \leq 2m_1 + 2m_2 \leq (4 + \varepsilon)(n_1 + n_2 - 4) \leq (4 + \varepsilon)(n - 2).$$

Without loss of generality,  $G_1$  satisfies (3). Thus we are done by induction if  $n_1 \geq 2 + \lceil \frac{2}{\varepsilon} \rceil$ . Now assume that  $n_1 \leq 1 + \lceil \frac{2}{\varepsilon} \rceil$ . Also assume that  $m_1 \leq 2n_1 - 3$ , as otherwise  $G_1$  contains a  $K_4$ -model, which has at most  $n_1 \leq 1 + \lceil \frac{2}{\varepsilon} \rceil$  vertices.

Suppose that  $S = \{v\}$  for some cut-vertex  $v$ . Since every vertex in  $G$  has degree at least 3, every vertex in  $G_1$ , except  $v$ , has degree at least 3 in  $G_1$ . Since  $n_1 \geq 2$ ,  $G_1$  contains a  $K_4$ -model, which has at most  $n_1 \leq 1 + \lceil \frac{2}{\varepsilon} \rceil$  vertices. Now assume that  $G$  is 2-connected.

Suppose that  $S = \{v, w\}$  for some adjacent cut-pair  $v, w$ . Thus  $n_1 + n_2 = n + 2$  and  $m = m_1 + m_2 - 1$  and

$$\begin{aligned} 2m_2 = 2m + 2 - 2m_1 &> (4 + \varepsilon)(n - 2) + 2 - 2(2n_1 - 3) \\ &= (4 + \varepsilon)(n_1 + n_2 - 4) - 4n_1 + 8 \\ &= (4 + \varepsilon)(n_2 - 4) + \varepsilon n_1 + 8 \\ &\geq (4 + \varepsilon)(n_2 - 4) + 2(4 + \varepsilon) \\ &= (4 + \varepsilon)(n_2 - 2). \end{aligned}$$

That is,  $G_2$  satisfies (3). Also,

$$n_2 = n - n_1 + 2 \geq \left( \lceil \frac{8}{\varepsilon} \rceil + \lceil \frac{2}{\varepsilon} \rceil \right) + 1 - \left( 1 + \lceil \frac{2}{\varepsilon} \rceil \right) + 2 = 2 + \lceil \frac{8}{\varepsilon} \rceil > 2 + \lceil \frac{2}{\varepsilon} \rceil.$$

Hence, by induction  $G_2$  and thus  $G$  contains the desired  $K_4$ -model. Now assume that every cut-pair of vertices are not adjacent.

Suppose that  $S = \{v, w\}$  for some non-adjacent cut-pair  $v, w$  and  $m_1 \leq 2n_1 - 4$ : Thus  $n_1 + n_2 = n + 2$  and  $m_1 + m_2 = m$  and

$$\begin{aligned} 2m_2 = 2m - 2m_1 &> (4 + \varepsilon)(n - 2) - 2(2n_1 - 4) \\ &= (4 + \varepsilon)(n_1 + n_2 - 4) - 4n_1 + 8 \\ &= (4 + \varepsilon)(n_2 - 4) + \varepsilon n_1 + 8 \\ &\geq (4 + \varepsilon)(n_2 - 4) + 2\varepsilon + 8 \\ &= (4 + \varepsilon)(n_2 - 2). \end{aligned}$$

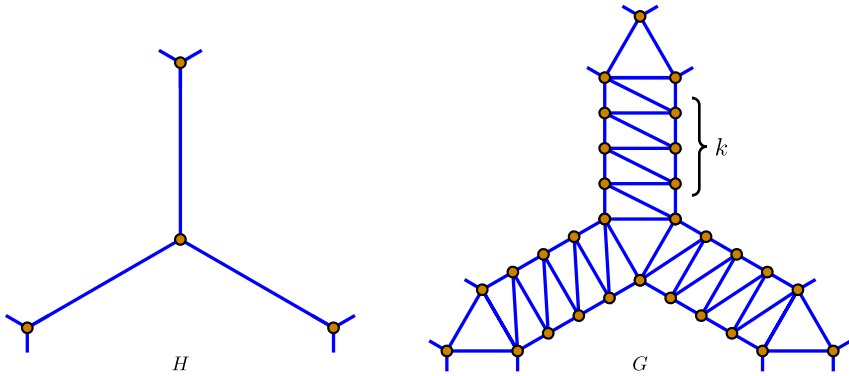


Fig. 3. Construction of G.

That is,  $G_2$  satisfies (3). As proved above,  $n_2 > 2 + \lceil \frac{2}{\varepsilon} \rceil$ . Hence, by induction  $G_2$  and thus  $G$  contains the desired  $K_4$ -model. Now assume that for every cut-pair  $v, w$  we have  $vw \notin E(G)$ , and if  $\{G_1, G_2\}$  is the corresponding separation with  $G_1$  satisfying (3), then  $m_1 = 2n_1 - 3$  and  $n_1 \leq 1 + \lceil \frac{2}{\varepsilon} \rceil$ .

Fix an embedding of  $G$ . By Lemma 5.6, there is a vertex  $v$  in  $G$  that sees at most  $1 + \lceil \frac{8}{\varepsilon} \rceil$  other vertices. If  $v$  is in no cut-pair then by Lemma 5.2 and since  $G$  is 2-connected,  $v$  plus the vertices seen by  $v$  induce a subgraph that contains a  $K_4$ -model, which has at most  $2 + \lceil \frac{8}{\varepsilon} \rceil \leq \lceil \frac{8}{\varepsilon} \rceil + \lceil \frac{2}{\varepsilon} \rceil$  vertices. Now assume that  $v, w$  is a cut-pair. Thus  $vw \notin E(G)$ , and if  $\{G_1, G_2\}$  is the corresponding separation, then  $m_1 = 2n_1 - 3$  and  $n_1 \leq 1 + \lceil \frac{2}{\varepsilon} \rceil$ . Since  $v, w$  is a cut-pair, there is a  $vw$ -path  $P$  contained in  $G_2$ , such that  $P$  is contained in a single face of  $G$ . Every vertex in  $P$  is seen by  $v$ , and  $v$  sees at least 2 vertices in  $G_1 - w$ . Thus  $P$  has at most  $\lceil \frac{8}{\varepsilon} \rceil - 2$  internal vertices. Let  $H$  be the minor of  $G$  obtained by contracting  $P$  into the edge  $vw$ , and deleting all the other vertices in  $G_2$ . Thus  $H$  has  $n_1$  vertices and  $2n_1 - 2$  edges. Hence  $H$  contains a  $K_4$ -minor. The corresponding  $K_4$ -model in  $G$  is contained in  $G_1 \cup P$ , and thus has at most  $(1 + \lceil \frac{2}{\varepsilon} \rceil) + (\lceil \frac{8}{\varepsilon} \rceil - 2) < \lceil \frac{2}{\varepsilon} \rceil + \lceil \frac{8}{\varepsilon} \rceil$  vertices.

We now prove the lower bound. Assume that  $\varepsilon \in (0, 1]$  and  $k := \frac{1}{\varepsilon} - 1$  is a non-negative integer. Let  $H$  be a cubic plane graph in which the length of every facial walk is at least 5 (for example, the dual of a minimum degree 5 plane triangulation). Say  $H$  has  $p$  vertices. Let  $G$  be the plane graph obtained by replacing each vertex of  $H$  by a triangle, and replacing each edge of  $H$  by  $2k$  vertices, as shown in Fig. 3. Thus  $G$  has  $3p$  vertices with degree 5 and  $3kp$  vertices with degree 4. Thus  $|G| = 3p + 3pk = \frac{3p}{\varepsilon}$  and  $2||G|| = 3p \cdot 5 + 3pk \cdot 4 = 4|G| + 3p = (4 + \varepsilon)|G|$ . Thus  $G$  has average degree  $4 + \varepsilon$ . Every  $K_4$ -model in  $G$  includes a cycle that surrounds a ‘big’ face with more than  $5k$  vertices. Thus every  $K_4$ -model has more than  $5k = \frac{5}{\varepsilon} - 5$  vertices. Similar constructions are possible for  $\varepsilon > 1$  starting with a 4- or 5-regular planar graph. □

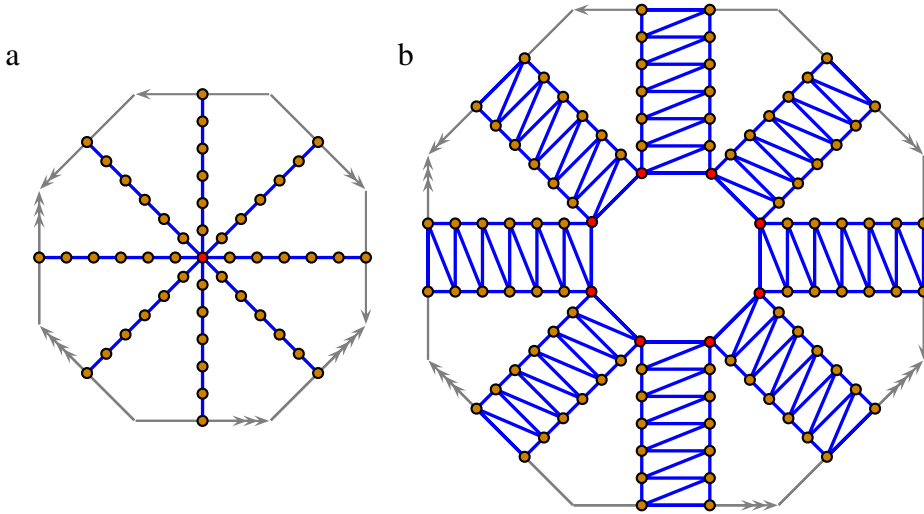
### 6. Higher genus surfaces

We now extend our results from Section 5 for graphs embedded on other surfaces.

**Lemma 6.1.** *Let  $\varepsilon > 0$ . Let  $G$  be a graph with average degree at least  $2 + \varepsilon$ . Suppose that  $G$  is embedded in a surface with Euler genus at most  $g$ . Then some facial walk has length at most  $(\frac{4}{\varepsilon} + 2)(g + 1)$ . Moreover, this bound is tight up to lower order terms.*

**Proof.** Say  $G$  has  $n$  vertices,  $m$  edges, and  $r$  faces. Let  $\ell$  be the minimum length of a facial walk. Thus  $2m \geq r\ell$ . By Euler’s formula,  $n - m + r = 2 - g$ . Hence

$$\begin{aligned} (2 + \varepsilon)n &\leq 2m \\ (2 + \varepsilon)(2 - g) &= (2 + \varepsilon)(n - m + r) \\ \frac{\varepsilon}{2}(r\ell) &\leq \frac{\varepsilon}{2}(2m). \end{aligned}$$



**Fig. 4.** Graphs embedded in  $\mathbb{S}_2$ : (a) average degree  $2 + \varepsilon$  and one face, and (b) average degree  $4 + \varepsilon$  and every vertex on one face.

Summing gives  $\frac{\varepsilon}{2}(r\ell) \leq (2 + \varepsilon)(g + r - 2)$ . Since  $r \geq 1$ ,

$$\ell \leq \frac{2}{\varepsilon r} (2 + \varepsilon) (g + r - 2) = \left(\frac{4}{\varepsilon} + 2\right) \left(\frac{g}{r} + \frac{r - 2}{r}\right) < \left(\frac{4}{\varepsilon} + 2\right) (g + 1).$$

Hence some facial walk has length at most  $\left(\frac{4}{\varepsilon} + 2\right)(g + 1)$ .

Now we prove the lower bound. Assume that  $g = 2h \geq 2$  is a positive even integer, and that  $0 < \varepsilon \leq 1 - \frac{3}{2g+1}$ . Let  $k := \left\lfloor \frac{2}{\varepsilon} - \frac{2}{\varepsilon g} - \frac{1}{g} \right\rfloor$ . Thus  $k \geq 2$ . Let  $G$  be the graph consisting of  $g$  cycles of length  $k + 1$  with exactly one vertex in common. Thus

$$\begin{aligned} 2\|G\| &= 2g(k + 1) = 2gk + 2 + \varepsilon + \varepsilon g \left(\frac{2}{\varepsilon} - \frac{2}{\varepsilon g} - \frac{1}{g}\right) \geq 2gk + 2 + \varepsilon + \varepsilon gk \\ &= (2 + \varepsilon)(gk + 1) \\ &= (2 + \varepsilon)|G|. \end{aligned}$$

Hence  $G$  has average degree at least  $2 + \varepsilon$ . As illustrated in Fig. 4(a),  $G$  has an embedding in  $\mathbb{S}_h$  (which has Euler genus  $2h = g$ ) with exactly one face. Thus every facial walk in  $G$  has length  $2\|G\| = 2g(k + 1) > 2g \left(\frac{2}{\varepsilon} - \frac{2}{\varepsilon g} - \frac{1}{g}\right) \geq \frac{4(g-1)}{\varepsilon} - 2$ .  $\square$

**Theorem 6.2.** *There is a function  $h$ , such that for every real  $\varepsilon > 0$ , every graph  $G$  with average degree at least  $2 + \varepsilon$  and Euler genus  $g$  has girth at most  $h(\varepsilon) \cdot \log(g + 2)$ . Moreover, for fixed  $\varepsilon$ , this bound is within a constant factor of being optimal.*

**Proof.** Say  $G$  has  $n$  vertices and  $m$  edges. We may assume that every proper subgraph of  $G$  has average degree strictly less than  $2 + \varepsilon$ . This implies that  $G$  has minimum degree at least 2. Fix an embedding of  $G$  with Euler genus  $g$ . Let  $\ell$  be the minimum length of a facial walk. By Euler’s formula, there are  $m - n + 2 - g$  faces. Thus  $2m \geq (m - n + 2 - g)\ell$ , implying  $\ell(n + g - 2) \geq m(\ell - 2) \geq \frac{1}{2}(2 + \varepsilon)(\ell - 2)n$ . Thus  $\ell(n + g - 2) \geq \frac{1}{2}(2 + \varepsilon)(\ell - 2)n$ , implying  $\ell(g - 2) \geq \left(\frac{\varepsilon}{2}(\ell - 2) - 2\right)n$ . First suppose that  $\ell < 6 + \frac{12}{\varepsilon}$ . Since  $G$  has no degree-1 vertices, every facial walk contains a cycle. Thus  $G$  has girth at most  $6 + \frac{12}{\varepsilon}$ , which is at most  $h(\varepsilon) \cdot \log(g + 2)$  for some function  $h$ . Now assume that  $\ell \geq 6 + \frac{12}{\varepsilon}$ , which

implies that  $\ell(g - 2) \geq (\frac{\varepsilon}{2}(\ell - 2) - 2)n \geq \frac{\varepsilon}{3}\ell n$ . Thus  $n \leq \frac{3}{\varepsilon}(g - 2)$ . By Lemma 3.2, the girth of  $G$  is at most  $g(\varepsilon) \cdot \log n \leq g(\varepsilon) \cdot \log(\frac{3}{\varepsilon}(g - 2))$ , which is at most  $h(\varepsilon) \cdot \log(g + 2)$  for some function  $h$ .

Now we prove the lower bound. Let  $d$  be the integer such that  $d - 3 < \varepsilon \leq d - 2$ . Thus  $d \geq 3$ . For all  $n > 3d$  such that  $nd$  is even, Chandran [2] constructed a graph  $G$  with  $n$  vertices, average degree  $d \geq 2 + \varepsilon$ , and girth at least  $(\log_d n) - 1$ . Now  $G$  has Euler genus  $g \leq \frac{dn}{2} - n + 1 \leq dn - 2$ . Thus  $G$  has girth at least  $(\log_d \frac{g+2}{d}) - 1$ . Since  $d < 3 + \varepsilon$ , the girth of  $G$  is at least  $h(\varepsilon) \cdot \log(g + 2)$  for some function  $h$ .  $\square$

We now extend Lemma 5.6 for sufficiently large embedded graphs.

**Lemma 6.3.** *Let  $\varepsilon \in (0, 2)$ . Let  $G$  be a graph with minimum degree 3 and average degree at least  $4 + \varepsilon$ . Assume that  $G$  is embedded in a surface with Euler genus  $g$ , such that  $|G| \geq (\frac{24}{\varepsilon} + 6)g$ . Then  $G$  has a vertex  $v$  that sees at most  $2 + \lceil \frac{12}{\varepsilon} \rceil$  other vertices.*

**Proof.** For each vertex  $v$  of  $G$ , associate a charge of

$$(8 + 2\varepsilon) - (8 + 3\varepsilon) \deg(v) + (24 + 6\varepsilon) \frac{g}{|G|} + (24 + 6\varepsilon) \sum_{f \in F(G,v)} \frac{1}{|f|}.$$

Thus the total charge is

$$\begin{aligned} & (8 + 2\varepsilon)|G| - (16 + 6\varepsilon) \|G\| + (24 + 6\varepsilon)g + (24 + 6\varepsilon) |F(G)| \\ &= (8 + 2\varepsilon)|G| - (16 + 6\varepsilon) \|G\| + (24 + 6\varepsilon)g + (24 + 6\varepsilon) (\|G\| - |G| - g + 2) \\ &= 4(2\|G\| - (4 + \varepsilon)|G|) + 2(24 + 6\varepsilon) \\ &\geq 2(24 + 6\varepsilon). \end{aligned}$$

Thus some vertex  $v$  has positive charge. That is,

$$(8 + 2\varepsilon) - (8 + 3\varepsilon) \deg(v) + (24 + 6\varepsilon) \frac{g}{|G|} + (24 + 6\varepsilon) \sum_{f \in F(G,v)} \frac{1}{|f|} > 0.$$

Since  $\frac{(24+6\varepsilon)g}{|G|} \leq \varepsilon$ ,

$$(24 + 6\varepsilon) \sum_{f \in F(G,v)} \frac{1}{|f|} > (8 + 3\varepsilon)(\deg(v) - 1).$$

That is,

$$\sum_{f \in F(G,v)} \frac{1}{|f|} > \left(\frac{1}{3} + \frac{1}{\alpha}\right) (\deg(v) - 1),$$

where  $\alpha := 6 + \frac{24}{\varepsilon}$ . We have proved that  $\deg(v)$  and the lengths of the facial walks incident to  $v$  satisfy Lemma A.2. Thus

$$\sum_{f \in F(G,v)} (|f| - 2) \leq \left\lceil \frac{\alpha}{2} \right\rceil - 1 = 2 + \left\lceil \frac{12}{\varepsilon} \right\rceil.$$

The result follows from Lemma 5.3.  $\square$

We now prove that the assumption that  $n \in \Omega(\frac{g}{\varepsilon})$  in Lemma 6.3 is needed. Assume we are given  $\varepsilon \in (0, 1]$  such that  $k := \frac{1}{\varepsilon} - 1$  is an integer. Hence  $k \geq 0$ . Consider the graph  $G$  shown in Fig. 4(b) with  $2g$  vertices of degree 5 and  $2gk$  vertices of degree 4. Thus  $|G| = 2g(k + 1)$  and  $2\|G\| = 10g + 8gk = 2g(5 + 4k) = \frac{|G|}{k+1}(4k + 5) = (4 + \frac{1}{k+1}) |G| = (4 + \varepsilon)|G|$ . Thus  $G$  has average degree  $4 + \varepsilon$ . Observe that every vertex lies on a single face. Thus each vertex sees  $|G| - 1 = \frac{2g}{\varepsilon} - 1$  other vertices.

A  $k$ -noose in an embedded graph  $G$  is a noncontractible simple closed curve in the surface that intersects  $G$  in exactly  $k$  vertices. The *facewidth* of  $G$  is the minimum integer  $k$  such that  $G$  contains a  $k$ -noose.

**Theorem 6.4.** *Let  $\varepsilon > 0$ . Let  $G$  be a 3-connected graph with average degree at least  $4 + \varepsilon$ , such that  $G$  has an embedding in a surface with Euler genus  $g$  and with facewidth at least 3. Then  $G$  contains a  $K_4$ -model with at most  $q(\varepsilon) \cdot \log(g + 2)$  vertices, for some function  $q$ . Moreover, for fixed  $\varepsilon$ , this bound is within a constant factor of being optimal.*

**Proof.** If  $|G| \leq \left(\frac{24}{\varepsilon} + 6\right)g$  then the result follows from Theorem 3.3. Otherwise, by Lemma 6.3 some vertex  $v$  sees at most  $2 + \lceil \frac{12}{\varepsilon} \rceil$  other vertices. The graph  $G - v$  is 2-connected and has facewidth at least 2. Thus every face of  $G - v$  is a simple cycle [20, Proposition 5.5.11]. In particular, the face of  $G - v$  that contains  $v$  in its interior is bounded by a simple cycle  $C$ . The vertices in  $C$  are precisely the vertices that  $v$  sees in  $G$ . Thus  $G[C \cup \{v\}]$  is a subdivided wheel with  $\deg(v) \geq 3$  spokes. Hence  $G$  contains a  $K_4$ -model with at most  $2 + \lceil \frac{12}{\varepsilon} \rceil$  vertices, which is at most  $q(\varepsilon) \cdot \log(g + 2)$  for an appropriate function  $q$ .

Now we prove the lower bound. Let  $d$  be the integer such that  $d - 5 < \varepsilon \leq d - 4$ . Thus  $d \geq 5$ . For every integer  $n > 3d$  such that  $nd$  is even, Chandran [2] constructed a graph  $G$  with  $n$  vertices, average degree  $d \geq 4 + \varepsilon$ , and girth greater than  $(\log_d n) - 1$ . Thus  $G$  has Euler genus  $g \leq \frac{dn}{2} \leq dn - 2$ . Since every  $K_4$ -model contains a cycle, every  $K_4$ -model in  $G$  has at least  $(\log_d n) - 1$  vertices. Since  $n \geq \frac{g+2}{d}$  and  $d < 5 + \varepsilon$ , every  $K_4$ -model in  $G$  has at least  $q(\varepsilon) \cdot \log(g + 2)$  vertices, for some function  $q$ .  $\square$

For a class of graphs, an edge is ‘light’ if both its endpoints have bounded degree. For example, Wernicke [28] proved that every planar graph with minimum degree 5 has an edge  $vw$  such that  $\deg(v) + \deg(w) \leq 11$ ; see [1, 12, 5, 6] for extensions. For a class of embedded graphs, we say an edge is ‘blind’ if both its endpoints see a bounded number of vertices. In a triangulation, a vertex only sees its neighbours, in which case the notions of ‘light’ and ‘blind’ are equivalent. But for non-triangulations, a ‘blind edge’ theorem is qualitatively stronger than a ‘light edge’ theorem. Hence the following result is a qualitative generalisation of the above theorem of Wernicke [28] (and of Lemma 5.4), and is thus of independent interest. No such result is possible for minimum degree 4 since every edge in  $C_{2n}^2$  sees at least  $n$  vertices.

**Proposition 6.5.** *Let  $G$  be a graph with minimum degree 5 embedded in a surface with Euler genus  $g$ , such that  $|G| \geq 240g$ . Then  $G$  has an edge  $vw$  such that  $v$  and  $w$  each see at most 12 vertices. Moreover, for plane graphs (that is,  $g = 0$ ),  $v$  and  $w$  each see at most 11 vertices.*

**Proof.** Consider each vertex  $x$ . Let  $\ell_x$  be the maximum length of a facial walk containing  $x$ . Let  $t_x$  be the number of triangular faces incident to  $x$ , unless every face incident to  $x$  is triangular, in which case let  $t_x := \deg(x) - 1$ . Say  $x$  is *good* if  $x$  sees at most 12 vertices, otherwise  $x$  is *bad*. Let

$$c_x := 240 - 120 \deg(x) + 240 \frac{g}{|G|} + 240 \sum_{f \in F(G,x)} \frac{1}{|f|}$$

be the charge at  $x$ . ( $c_x$  is 240 times the *combinatorial curvature* at  $x$ .) By Euler’s formula, the total charge is

$$240(|G| - \|G\| + g + |F(G)|) = 480.$$

Observe that (since  $\ell_x \geq 3$  and  $t_x \leq \deg(x) - 1$  and  $\deg(x) \geq 5$ )

$$c_x \leq 240 - 120 \deg(x) + 240 \frac{g}{|G|} + 240 \left( \frac{1}{\ell_x} + \frac{t_x}{3} + \frac{\deg(x) - t_x - 1}{4} \right)$$

$$\leq 181 - 60 \deg(x) + \frac{240}{\ell_x} + 20t_x \tag{4}$$

$$\leq 241 - 40 \deg(x) \leq 41. \tag{5}$$

For each good vertex  $x$ , equally distribute the charge on  $x$  to its neighbours. (Bad vertices keep their charge.) Let  $c'_x$  be the new charge on each vertex  $x$ . Since the total charge is positive,  $c'_v > 0$  for some vertex  $v$ . If  $v$  is good, then all the charge at  $v$  was received from its neighbours during the charge distribution phase, implying some neighbour  $w$  of  $v$  is good, and we are done. Now assume that  $v$  is bad. Let  $D_v$  be the set of good neighbours of  $v$ . By (4) and (5), and since  $\deg(w) \geq 5$ ,

$$0 < c'_v = c_v + \sum_{w \in D_v} \frac{c_w}{\deg(w)} \leq 181 - 60 \deg(v) + \frac{240}{\ell_v} + 20t_v + \frac{41}{5} |D_v|. \tag{6}$$

We may assume that no two good neighbours of  $v$  are on a common triangular face.

**Claim 6.6.**  $|D_v| \leq \deg(v) - \frac{\ell_v}{2}$ . Moreover, if  $|D_v| = \deg(v) - \frac{\ell_v}{2}$  then some face incident to  $v$  is non-triangular, and for every bad neighbour  $w$  of  $v$ , the edge  $vw$  is incident to two triangular faces.

**Proof.** First assume that every face incident to  $v$  is triangular. Thus no two consecutive neighbours of  $v$  are good. Hence  $|D_v| \leq \frac{\deg(v)}{2} < \frac{\deg(v)+1}{2} = \deg(v) - \frac{\ell_v}{2}$ , as claimed. This also proves that if  $|D_v| = \deg(v) - \frac{\ell_v}{2}$  then some face incident to  $v$  is non-triangular.

We prove the case in which some face incident to  $v$  is non-triangular by a simple charging scheme. If  $w$  is a good neighbour of  $v$ , then charge  $vw$  by 1. Charge each triangular face incident to  $v$  by  $\frac{1}{2}$ . Thus the total charge is  $|D_v| + \frac{\ell_v}{2}$ . If  $uvw$  is a triangular face incident to  $v$ , then at least one of  $u$  and  $w$ , say  $w$ , is bad; send the charge of  $\frac{1}{2}$  at  $uvw$  to  $vw$ . Each good edge incident to  $v$  gets a charge of 1, and each bad edge incident to  $v$  gets a charge of at most  $\frac{1}{2}$  from each of its two incident faces. Thus each edge incident to  $v$  gets a charge of at most 1. Thus the total charge,  $|D_v| + \frac{\ell_v}{2}$ , is at most  $\deg(v)$ , as claimed.

Finally, assume that  $|D_v| = \deg(v) - \frac{\ell_v}{2}$ . Then for every bad neighbour  $w$  of  $v$ , the edge  $vw$  gets a charge of exactly 1, implying  $vw$  is incident to two triangular faces.  $\square$

Claim 6.6 and (6) imply

$$\begin{aligned} 0 < 181 - 60 \deg(v) + \frac{240}{\ell_v} + 20t_v + \frac{41}{5} \deg(v) - \frac{41t_v}{10} \\ = 181 - \frac{259}{5} \deg(v) + \frac{240}{\ell_v} + \frac{159}{10} t_v. \end{aligned}$$

Since  $t_v \leq \deg(v) - 1$  and  $\deg(v) \geq 5$ ,

$$0 < \frac{1651}{10} - \frac{359}{10} \deg(v) + \frac{240}{\ell_v} \leq -\frac{144}{10} + \frac{240}{\ell_v}.$$

implying  $\ell_v \in \{3, 4, \dots, 16\}$ . Since  $\ell_v \geq 3$ ,

$$0 < \frac{2451}{10} - \frac{359}{10} \deg(v),$$

implying  $\deg(v) \in \{5, 6\}$  and  $t_v \in \{0, 1, \dots, \deg(v) - 1\}$ .

We have proved that finitely many values satisfy (6). We now strengthen this inequality in the case that  $|D_v| = \deg(v) - \frac{\ell_v}{2}$ .

Let  $f$  be a face of length  $\ell_v$  incident to  $v$ . Let  $x$  and  $y$  be two distinct neighbours of  $v$  on  $f$ . Suppose on the contrary that  $x$  is bad. By Claim 6.6,  $vx$  is incident to two triangular faces, one of which is  $vxy$ . Thus  $\ell_v = 3$ , and every face incident to  $v$  is a triangle, which contradicts the Claim. Hence  $x$  is good. Similarly  $y$  is good.

Thus  $\ell_x \geq \ell_v$ . By (4),

$$c_x \leq 181 - 60 \deg(x) + \frac{240}{\ell_v} + 20t_x \leq 161 - 40 \deg(x) + \frac{240}{\ell_v} \leq \frac{240}{\ell_v} - 39.$$



Similarly,  $c_y \leq \frac{240}{\ell_v} - 39$ . Hence (assuming  $|D_v| = \deg(v) - \frac{t_v}{2}$ ),

$$\begin{aligned} 0 < c'_v &\leq 181 - 60 \deg(v) + \frac{240}{\ell_v} + 20t_v + \frac{c_x}{\deg(x)} + \frac{c_y}{\deg(y)} + \sum_{w \in D_v \setminus \{x,y\}} \frac{c_w}{\deg(w)} \\ &\leq 181 - 60 \deg(v) + \frac{240}{\ell_v} + 20t_v + \frac{\frac{240}{\ell_v} - 39}{\deg(x)} + \frac{\frac{240}{\ell_v} - 39}{\deg(y)} + \sum_{w \in D_v \setminus \{x,y\}} \frac{41}{\deg(w)} \\ &\leq 181 - 60 \deg(v) + \frac{240}{\ell_v} + 20t_v + 2 \left( \frac{48}{\ell_v} - \frac{39}{5} \right) + \frac{41}{5} (|D_v| - 2). \end{aligned} \tag{7}$$

Checking all values of  $\deg(v)$ ,  $t_v$  and  $\ell_v$  that satisfy (6) and (7) proves that

$$t_v + (\deg(v) - t_v)(\ell_v - 2) \leq 12$$

(which is tight for  $\deg(v) = 5$  and  $t_v = 4$  and  $\ell_v = 10$  and  $|D_v| = 2$ ). Thus

$$\sum_{f \in F(G,v)} (|f| - 2) \leq t_v(3 - 2) + (\deg(v) - t_v)(\ell_v - 2) \leq 12.$$

By Lemma 5.3,  $v$  sees at most 12 vertices. Therefore  $v$  is good, which is a contradiction.

In the case of planar graphs, we define a vertex to be good if it sees at most 11 other vertices. Since  $g = 0$ , (4) and (5) can be improved to

$$c_x \leq 180 - 60 \deg(x) + \frac{240}{\ell_x} + 20t_x \leq 240 - 40 \deg(x) \leq 40. \tag{8}$$

Subsequently, (6) is improved to

$$0 < c'_v = 180 - 60 \deg(v) + \frac{240}{\ell_v} + 20t_v + 8|D_v|, \tag{9}$$

and (7) is improved to

$$0 < c'_v \leq 180 - 60 \deg(v) + \frac{240}{\ell_v} + 20t_v + 2 \left( \frac{48}{\ell_v} - 8 \right) + 8(|D_v| - 2). \tag{10}$$

Checking all values of  $\deg(v)$ ,  $t_v$  and  $\ell_v$  that satisfy (9) and (10) proves that  $t_v + (\deg(v) - t_v)(\ell_v - 2) \leq 11$ . As in the main proof, it follows that  $v$  is good.  $\square$

We now prove that the assumption that  $|G| \in \Omega(g)$  in Proposition 6.5 is necessary. Let  $G$  be the graph obtained from  $C_{2n}^2$  by adding a perfect matching, as shown embedded in  $\mathbb{S}_n$  in Fig. 5 (where there is one handle for each pair of crossing edges). This graph is 5-regular, but each vertex is on a facial walk of length  $n$ . Thus no vertex sees a bounded number of vertices.

### 7. Open problems

The first open problem that arises from this work is to determine the best possible function  $f$  in Theorem 1.1. In particular, does average degree at least some polynomial in  $t$  force a small  $K_t$ -model? Even stronger, is there a function  $h$ , such that every graph  $G$  with average degree at least  $f(t) + \varepsilon$  contains a  $K_t$ -model with  $h(t, \varepsilon) \cdot \log |G|$  vertices, where  $f(t)$  is the minimum number such that every graph with average degree at least  $f(t)$  contains a  $K_t$ -minor? We have answered this question in the affirmative for  $t \leq 4$ . The case  $t = 5$  is open. It follows from Wagner’s characterisation of graphs with no  $K_5$ -minor that average degree at least 6 forces a  $K_5$ -minor [27]. Theorem 4.3 proves that average degree at least  $16 + \varepsilon$  forces a  $K_5$ -model with at most  $h(\varepsilon) \cdot \log n$  vertices. We conjecture the following improvement:

**Conjecture 7.1.** *There is a function  $h$  such that for all  $\varepsilon > 0$ , every graph  $G$  with average degree at least  $6 + \varepsilon$  contains a  $K_5$ -model with at most  $h(\varepsilon) \cdot \log |G|$  vertices.*

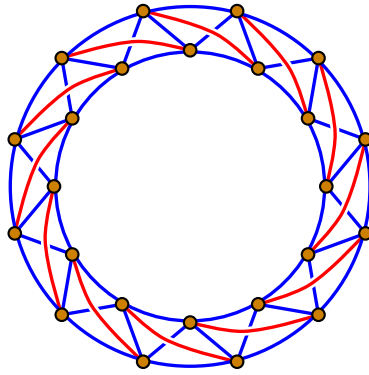


Fig. 5.  $C_{24}^2$  plus a perfect matching, embedded on  $\mathbb{S}_{12}$ .

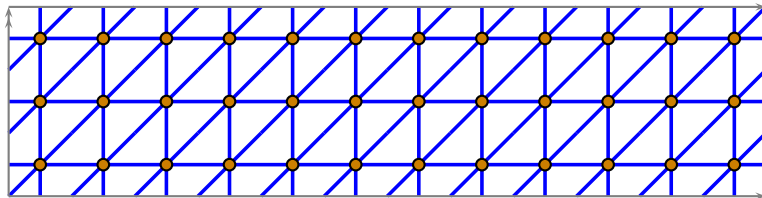


Fig. 6. 6-regular  $12 \times 3$  triangulated toroidal grid.

This degree bound would be best possible: Let  $G_n$  be the 6-regular  $n \times 3$  triangulated toroidal grid, as illustrated in Fig. 6. Every  $K_5$ -model in  $G_n$  intersects every column (otherwise  $K_5$  is planar). Thus every  $K_5$ -model in  $G_n$  has at least  $n$  vertices.

Note that, while in this paper we have only studied small  $K_t$ -models, the same questions apply for small  $H$ -models, for arbitrary graphs  $H$ . This question was studied for  $H = K_4 - e$  in [4]. See [25,22, 21,10,15] for results about forcing  $H$ -minors.

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**Appendix. Some technicalities**

**Lemma A.1.** *Let  $\alpha > 0$ . Let  $d, f_1, \dots, f_d$  be integers, each at least 3, such that*

$$\sum_{i=1}^d \frac{1}{f_i} > \left(\frac{1}{3} + \frac{1}{\alpha}\right)d - \frac{1}{3}.$$

Then

$$\sum_{i=1}^d (f_i - 2) \leq \left\lceil \frac{\alpha}{3} \right\rceil - 1.$$

**Proof.** We may assume that  $f_1, \dots, f_d$  firstly maximise  $\sum_i (f_i - 2)$ , and secondly maximise  $\sum_i \frac{1}{f_i}$ . We claim that  $f_i = 3$  for all  $i \in \{1, \dots, d\}$  except perhaps one. Suppose on the contrary that  $f_j \geq f_k \geq 4$  for distinct  $j, k \in \{1, \dots, d\}$ . Let  $f'_i := f_i$  for  $i \in \{1, \dots, d\} \setminus \{j, k\}$ ,  $f'_j := f_j + 1$ , and  $f'_k := f_k - 1$ . Then

$$\sum_{i=1}^d f'_i = \sum_{i=1}^d f_i \quad \text{but} \quad \sum_{i=1}^d \frac{1}{f'_i} > \sum_{i=1}^d \frac{1}{f_i},$$

implying  $f_1, \dots, f_d$  do not maximise  $\sum_i \frac{1}{f_i}$ . Thus the claim holds and we may assume  $f_i = 3$  for  $i \in \{1, \dots, d - 1\}$ . Hence

$$\frac{d - 1}{3} + \frac{1}{f_d} > \left(\frac{1}{3} + \frac{1}{\alpha}\right)d - \frac{1}{3}.$$

Thus  $\frac{1}{f_d} > \frac{d}{\alpha}$ , implying  $f_d \leq \lceil \frac{\alpha}{d} \rceil - 1$ . Since  $\frac{\alpha}{d} > f_d \geq 3$  and since  $d \geq 3$ ,

$$\frac{\alpha}{3} = \frac{\alpha}{d} \left(\frac{d}{3} - 1\right) + \frac{\alpha}{f_d} \geq 3 \left(\frac{d}{3} - 1\right) + \frac{\alpha}{d} = d - 3 + \frac{\alpha}{d}.$$

Hence

$$\lceil \frac{\alpha}{3} \rceil \geq \lceil d - 3 + \frac{\alpha}{d} \rceil = d - 3 + \lceil \frac{\alpha}{d} \rceil.$$

Therefore

$$\sum_{i=1}^d (f_i - 2) \leq (d - 1)(3 - 2) + \lceil \frac{\alpha}{d} \rceil - 3 = d - 3 + \lceil \frac{\alpha}{d} \rceil - 1 \leq \lceil \frac{\alpha}{3} \rceil - 1.$$

This completes the proof.  $\square$

**Lemma A.2.** Let  $\alpha > 0$ . Let  $d, f_1, \dots, f_d$  be integers, each at least 3, such that

$$\sum_{i=1}^d \frac{1}{f_i} > \left(\frac{1}{3} + \frac{1}{\alpha}\right)(d - 1).$$

Then

$$\sum_{i=1}^d (f_i - 2) \leq \lceil \frac{\alpha}{2} \rceil - 1.$$

**Proof.** As in the proof of Lemma A.1, we may assume that  $f_j = 3$  for all  $j \in \{3, \dots, d - 1\}$ . Hence

$$\frac{d - 1}{3} + \frac{1}{f_d} > \left(\frac{1}{3} + \frac{1}{\alpha}\right)(d - 1).$$

Thus  $\frac{1}{f_d} > \frac{d-1}{\alpha}$ , implying  $f_d \leq \lceil \frac{\alpha}{d-1} \rceil - 1$ . Since  $\frac{\alpha}{d-1} > f_d \geq 3$  and since  $d \geq 3$ ,

$$\frac{\alpha}{2} \geq \frac{\alpha d}{3(d - 1)} = \left(\frac{\alpha}{d - 1}\right) \left(\frac{d}{3} - 1\right) + \frac{\alpha}{f_d} \geq 3 \left(\frac{d}{3} - 1\right) + \frac{\alpha}{d - 1} = d - 3 + \frac{\alpha}{d - 1}.$$

Hence

$$\lceil \frac{\alpha}{2} \rceil \geq \lceil d - 3 + \frac{\alpha}{d - 1} \rceil = d - 3 + \lceil \frac{\alpha}{d - 1} \rceil.$$

Therefore

$$\sum_{i=1}^d (f_i - 2) \leq (d - 1)(3 - 2) + \left\lceil \frac{\alpha}{d - 1} \right\rceil - 3 = d - 3 + \left\lceil \frac{\alpha}{d - 1} \right\rceil - 1 \leq \left\lceil \frac{\alpha}{2} \right\rceil - 1.$$

This completes the proof.  $\square$

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