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Contractibility and the Hadwiger Conjecture

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ABSTRACT

Consider the following relaxation of the Hadwiger Conjecture: For each t there exists N_t such that every graph with no K_t -minor admits a vertex partition into $\lceil \alpha t + \beta \rceil$ parts, such that each component of the subgraph induced by each part has at most N_t vertices. The Hadwiger Conjecture corresponds to the case $\alpha = 1$, $\beta = -1$ and $N_t = 1$. Kawarabayashi and Mohar [K. Kawarabayashi, B. Mohar, A relaxed Hadwiger's conjecture for list colorings, *J. Combin. Theory Ser. B* 97 (4) (2007) 647–651. URL: <http://dx.doi.org/10.1016/j.jctb.2006.11.002>] proved this relaxation with $\alpha = \frac{31}{2}$ and $\beta = 0$ (and N_t a huge function of t). This paper proves this relaxation with $\alpha = \frac{7}{2}$ and $\beta = -\frac{3}{2}$. The main ingredients in the proof are: (1) a list colouring argument due to Kawarabayashi and Mohar, (2) a recent result of Norine and Thomas that says that every sufficiently large $(t + 1)$ -connected graph contains a K_t -minor, and (3) a new sufficient condition for a graph to have a set of edges whose contraction increases the connectivity.

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1. Introduction

In 1943, Hadwiger [5] made the following conjecture, which is widely considered to be one of the most important open problems in graph theory; see Ref. [25] for a survey.¹

Hadwiger Conjecture. Every graph with no K_t -minor is $(t - 1)$ -colourable.

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¹ All graphs in this paper are undirected, simple and finite. Let G be a graph. The vertex set and edge set of G are denoted by $V(G)$ and $E(G)$. For $v \in V(G)$, let $N_G(v) := \{w \in V(G) : vw \in E(G)\}$. If $X \subseteq V(G)$ then $G[X]$ denotes the subgraph induced by X . If vw is an edge of G then G/vw is the graph obtained from G by contracting vw ; that is, the edge vw is deleted and the vertices v and w are identified. A *minor* of G is a graph that can be obtained from a subgraph of G by contracting edges. A k -colouring of G is a function that assigns one of at most k colours to each vertex of G , such that adjacent vertices receive distinct colours. G is k -colourable if G admits a k -colouring.

The Hadwiger Conjecture holds² for $t \leq 6$. Kostochka [11,12] and Thomason [22,23] independently proved that for some constant c , every graph G with no K_t -minor has a vertex of degree at most $ct\sqrt{\log t}$ (and this bound is best possible). It follows that G is $ct\sqrt{\log t}$ -colourable. This is the best known such upper bound. In particular, the following conjecture is unsolved:

Weak Hadwiger Conjecture. For some constant c , every graph with no K_t -minor is ct -colourable.

This conjecture motivated Kawarabayashi and Mohar [8] to prove the following relaxation; see Ref. [7] for a recent extension to graphs with no odd K_t -minor.

Theorem 1.1 ([8]). *For each $t \in \mathbb{Z}^+$ there exists $N_t \in \mathbb{Z}^+$ such that every graph with no K_t -minor admits a vertex partition into $\lceil \frac{31}{2}t \rceil$ parts, and each connected component of the subgraph induced by each part has at most N_t vertices.*

With $N_t = 1$ the vertex partition in Theorem 1.1 is a colouring. So Theorem 1.1 is a relaxation of the Weak Hadwiger Conjecture. It would be interesting to improve the bound of $\frac{31}{2}t$ in Theorem 1.1. Indeed, Kawarabayashi and Mohar [8] write:

“The $\frac{31}{2}t$ bound can be improved slightly by fine-tuning parts of the proof in [1]. However, new methods would be needed to go below $10t$.”

The main contribution of this paper is to improve $\frac{31}{2}$ in Theorem 1.1 to $\frac{7}{2}$.

Theorem 1.2. *For each $t \in \mathbb{Z}^+$ there exists $N_t \in \mathbb{Z}^+$ such that every graph with no K_t -minor admits a vertex partition into $\lceil \frac{7t-3}{2} \rceil$ parts, and each connected component of the subgraph induced by each part has at most N_t vertices.*

There are three main ingredients to the proof of Theorem 1.2. The first ingredient is a list colouring argument due to Kawarabayashi and Mohar [8], which is described in Section 2. The second ingredient is a sufficient condition for a graph to have a set of edges whose contraction increases the connectivity. This condition generalises previous results given by Mader [16], and is presented in Section 3. The third ingredient, the “new methods” alluded to in the above quote, is the following recent result by Norine and Thomas [18].

Theorem 1.3 ([18]). *For each $t \in \mathbb{Z}^+$ there exists $N_t \in \mathbb{Z}^+$ such that every $(t+1)$ -connected graph with at least N_t vertices has a K_t -minor.*

2. List colouring

A key tool in the proofs of Theorems 1.1 and 1.2 is the notion of list colouring, independently introduced by Vizing [26] and Erdős et al. [3]. A *list assignment* of a graph G is a function L that assigns to each vertex v of G a set $L(v)$ of colours. G is *L -colourable* if there is a colouring of G such that the colour assigned to each vertex v is in $L(v)$. G is *k -choosable* if G is L -colourable for every list assignment L with $|L(v)| \geq k$ for each vertex v of G . If G is k -choosable then G is also k -colourable—just use the same set of k colours for each vertex. See Ref. [29] for a survey on list colouring.

As well as being of independent interest, list colourings enable inductive proofs about ordinary colourings that might be troublesome without using lists. Most notable is the proof by Thomassen [24] that every planar graph is 5-choosable. This proof, unlike most proofs of the 5-colourability of planar graphs, does not use the fact that every planar graph has a vertex of degree at most 5. Given that

² If G has no K_1 -minor then $V(G) = \emptyset$ and G is 0-colourable. If G has no K_2 -minor then $E(G) = \emptyset$ and G is 1-colourable. If G has no K_3 -minor then G is a forest, which is 2-colourable. Hadwiger [5] and Dirac [2] independently proved that if G has no K_4 -minor (so-called *series-parallel* graphs) then G is 3-colourable. The Hadwiger Conjecture with $t = 5$ implies the Four-Colour Theorem, since planar graphs contain no K_5 -minor. In fact, Wagner [27] proved that the Hadwiger Conjecture with $t = 5$ is equivalent to the Four-Colour Theorem, and therefore holds [4,19]. Robertson et al. [20] proved that the Hadwiger Conjecture with $t = 6$ also is a corollary of the Four-Colour Theorem.

Since $L'(v) \subseteq L(v)$, conditions (C1') and (C1'') imply (C1). Since there is no edge between $A - B$ and $B - A$ in G , (C3') and (C3'') imply that every component of $G[V_i]$ is a component of $G[V_i']$ or $G[V_i'']$ or $G[Z]$. Since $N_t + 2t - 1 \geq |Z|$, conditions (C2') and (C2'') imply (C2). Hence (C1), (C2) and (C3) are satisfied. Now assume that every t -separation of G is Z -bad.

Case IV: Every vertex in $V(G) - Z$ has degree at least $\frac{7t-3}{2} \geq \frac{3}{2}k + |Z| - 2$, where $k := t + 1$. Thus Theorem 3.3 below implies that G has a $(t + 1)$ -connected minor H with at least $|V(G)| - |Z| \geq N_t$ vertices. By Theorem 1.3, H , and thus G , has a K_t -minor. This contradiction completes the proof. \square

3. Contractibility

The main result in this section is Theorem 3.3, which was used in the proof of Lemma 2.1. The proof reduces to questions about contractibility that are of independent interest. Mader [16] proved the following sufficient condition for a given vertex to be incident to an edge whose contraction maintains connectivity.³ See Refs. [14,17] for surveys of results in this direction.

Theorem 3.1 ([16]). *Let v be a vertex in a k -connected graph G , such that every neighbour of v has degree at least $\frac{3}{2}k - 1$. Then G/vw is k -connected for some edge vw incident to v .*

The following strengthening of Theorem 3.1 describes a scenario when there is an edge whose contraction increases connectivity.

Theorem 3.2. *Let v be a vertex in graph G , such that $N_G(v)$ is the only minimal $(k - 1)$ -separator, and every neighbour of v has degree at least $\frac{3}{2}k - 1$. Then G/vw is k -connected for some edge vw incident to v .*

The first condition in Theorem 3.2 is equivalent to saying that every $(k - 1)$ -separation of G is $\{v\}$ -bad. Thus Theorem 3.2 is a special case of the following theorem (with $Z = \{v\}$).

Theorem 3.3. *Suppose that G is a graph and for some $Z \subset V(G)$,*

- every $(k - 1)$ -separation of G is Z -bad, and
- every vertex in $\cup\{N_G(v) - Z : v \in Z\}$ has degree at least $\frac{3}{2}k + |Z| - 2$ in G .

Then G has a set of at most $|Z|$ edges, each with one endpoint in Z , whose contraction gives a k -connected graph.

Proof. We proceed by induction on $|Z|$. If $Z = \emptyset$, or $N_G(v) \subseteq Z$ for each $v \in Z$, then $G - Z$ is k -connected. Now assume that $N_G(v) \not\subseteq Z$ for some $v \in Z$. By assumption, every vertex in $N_G(v) - Z$ has degree at least $\frac{3}{2}k + |Z| - 2$ in G . By Lemma 3.4 below there is an edge vw with $w \in N_G(v) - Z$ such that every $(k - 1)$ -separation of G/vw is $(Z - \{v\})$ -bad. For every vertex $x \in V(G/vw)$, if contracting vw decreases the degree of some vertex x , then x is a common neighbour of v and w , and $\deg_{G/vw}(x) = \deg_G(x) - 1$. Thus $\deg_{G/vw}(x) \geq \frac{3}{2}k + |Z - \{v\}| - 2$. By induction, G/vw has a set S of at most $|Z - \{v\}|$ edges whose contraction gives a k -connected graph. Thus $S \cup \{vw\}$ is a set of at most $|Z|$ edges in G whose contraction gives a k -connected graph. \square

Lemma 3.4. *Suppose that G is a graph and for some $Z \subset V(G)$ and for some vertex $v \in Z$ with $N_G(v) - Z \neq \emptyset$,*

- every $(k - 1)$ -separation of G is Z -bad, and
- every vertex in $N_G(v) - Z$ has degree at least $\frac{3}{2}k + |Z| - 2$ in G .

Then there is an edge vw with $w \in N_G(v) - Z$, such that

- every $(k - 1)$ -separation of G/vw is $(Z - \{v\})$ -bad.

³ Theorem 3.1 is a special case of Theorem 1 in [16] with $\mathfrak{S} = \{\{v, w\} : w \in N_G(v)\}$. Ref. [16] cites Ref. [15] for the proof of Theorem 1 in [16]. The proof of our Theorem 3.2 was obtained by following a treatment of Mader's work by Kriesell [13].

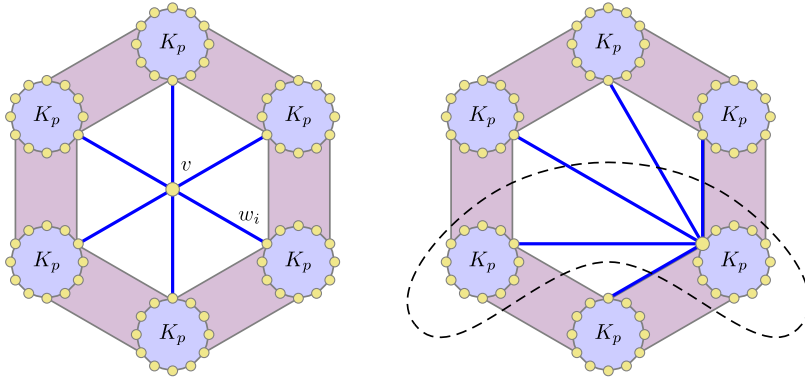


Fig. 2. Contracting vw_i produces a $(k - 1)$ -separation.

We claim that $A' - Z$ or $B' - Z$ or $C' - Z$ or $D' - Z$ has at most $\frac{1}{2} \max\{|S - T|, |T - S|\}$ vertices. If $B' - Z \subseteq T$, then $(A' - Z) \cup (B' - Z) \subseteq T - S$, implying that $A' - Z$ or $B' - Z$ has at most $\frac{1}{2}|T - S|$ vertices, as claimed. Now assume that $B' - Z \not\subseteq T$. Without loss of generality, $B' \cap C' \not\subseteq Z$. By (4), $|S \cap C'| \geq |T \cap A'| = |A' - Z|$. If $|A' - Z| \leq \frac{1}{2}|S - T|$ then the claim is proved. Otherwise, $|S \cap C'| \geq |A' - Z| > \frac{1}{2}|S - T|$. Thus $|S \cap D'| < \frac{1}{2}|S - T|$ (since $S - T$ is the disjoint union of $S \cap C'$ and $S \cap D'$). If $D' - Z \subseteq S$ then $|D' - Z| \leq |D' \cap S| < \frac{1}{2}|S - T|$. So assume that $D' - Z \not\subseteq S$. Thus $D' \cap B' \not\subseteq Z$. By (5), $|S \cap D'| \geq |T \cap A'| = |A' - Z| > \frac{1}{2}|S - T|$, which is a contradiction.

Hence $|Q - Z| \leq \frac{1}{2} \max\{|S - T|, |T - S|\}$ for some fragment $Q \in \{A', B', C', D'\}$. Now $\max\{|S - T|, |T - S|\} = \max\{|S|, |T|\} - |S \cap T| \leq k - 1$ since $v \in S \cap T$. Thus $|Q| \leq \frac{1}{2}(k - 1) + |Z|$. By (1), there is a vertex $w \in (N_G(v) - Z) \cap Q$. Then $N_G(w) \subseteq Q \cup S$ or $N_G(w) \subseteq Q \cup T$. Since $v \in S \cap T \cap Z$ and $|S - \{v\}| \leq k - 1$ and $|T - \{v\}| \leq k - 1$ and $w \in Q$, we have $\deg(w) \leq \frac{1}{2}(k - 1) + |Z| + (k - 1) - 1 = \frac{3k - 5}{2} + |Z|$. This contradicts the assumption that each vertex in $N_G(v) - Z$ has degree at least $\frac{3}{2}k + |Z| - 2$. \square

We now show that the degree bound in Theorem 3.2 is best possible. The proof is an adaptation of a construction by Watkins [28] that shows that the degree bound in Theorem 3.1 is best possible. For odd $k \geq 5$ and $n \in [4, k - 1]$, let $p := \frac{1}{2}(k - 1)$. Start with the lexicographic product $C_n \cdot K_p$, which consists of n disjoint copies H_1, \dots, H_n of K_p , where every vertex in H_i is adjacent to every vertex in H_{i+1} , and H_j means $H_{j \bmod n}$. Let G be the graph obtained by adding a new vertex v adjacent to one vertex w_i in each H_i , as illustrated in Fig. 2. It is straightforward to verify that there are k internally disjoint paths in G between each pair of distinct vertices in $V(G) - \{v\}$. Thus $N_G(v)$ is the only minimal $(k - 1)$ -separator in G (since $\deg(v) = n \leq k - 1$). For each neighbour w_i of v , observe that $\deg(w_i) = (p - 1) + 2p + 1 = \frac{3}{2}(k - 1)$, but in G/vw_i the set $V(H_i) \cup V(H_{i+2})$ is a $2p$ -separator, implying that G/vw_i is not k -connected. Thus the degree bound of $\frac{3}{2}k - 1$ in Theorem 3.2 is best possible.

4. Final remarks

Seymour and Thomas conjectured the following strengthening of Theorem 1.3.

Conjecture 4.1 (Seymour and Thomas). For each $t \in \mathbb{Z}^+$ there exists $N_t \in \mathbb{Z}^+$ such that every t -connected graph G with at least N_t vertices and no K_t minor contains a set S of $t - 5$ vertices such that $G - S$ is planar.

Kawarabayashi et al. [9,10] proved this conjecture for $t \leq 6$. Recently, Norine and Thomas [18] proved it for $t \leq 8$. If true, Conjecture 4.1 can be used instead of Theorem 1.3 to make small improvements to Theorem 1.2.

Given that list colourings are a useful tool in attacking the Hadwiger Conjecture, it is interesting to ask what is the least function f such that every graph with no K_t -minor is $f(t)$ -choosable. Since every

graph with no K_t -minor has a vertex of degree at most $ct\sqrt{\log t}$, it follows that $f(t) \leq ct\sqrt{\log t}$, and this is the best known bound. In particular, the following conjecture of Kawarabayashi and Mohar [8] is unsolved.

Weak List Hadwiger Conjecture. For some constant c , every graph with no K_t -minor is ct -choosable.

Kawarabayashi and Mohar [8] write that this conjecture may hold with $c = 1$, and that they believe that it holds with $c = \frac{3}{2}$. We dare to conjecture the following.

List Hadwiger Conjecture. Every graph with no K_t -minor is t -choosable.

This conjecture holds for $t \leq 5$ [6,21]. The $t = 6$ case is open.

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